Transformations to Sustainability (T2S)

Call for Proposals and Guidelines for the Applicants

6 July 2017, version 1.4
This document will be updated if new information becomes available.
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1 Introduction

Climate change, environmental degradation and resource pressures have created unprecedented situations for societies worldwide. In particular Low- and Middle- Income Countries (LMIC) are likely to be disproportionately affected by this. The slow progress on many pressing challenges of global environmental change and global development has led to increasing emphasis, both in research and policy, on the need to go beyond the study and encouragement of incremental change. Conventional knowledge and capacity building to tackle the challenges associated with sustainability have had some positive impacts but are ultimately not fully adequate. Consequently, there is growing attention to the need for more fundamental transformations in the way societies interact with each other and with the natural environment as the basis of sustainability. Societal transformations refer to profound and enduring systemic changes that typically involve social, cultural, technological, political, economic and environmental processes. A comprehensive and concerted research initiative is needed that can boost research on transformations to sustainability, and catalyse new kinds of solutions to environmental and social challenges.

It is for these reasons that the Belmont Forum, NORFACE and the ISSC together as the Transformations to Sustainability funders launch the Programme “Transformations to Sustainability” (Acronym: T2S). This programme will contribute to re-structuring the broad field of sustainability research by placing social science, as well as the humanities, at the heart of interdisciplinary research in a step change in scale and scope for research programming on this topic. Co-production of knowledge and research problem formulation is considered to be critical to the process of societal transformation. Therefore, proposal applicants are strongly encouraged to include stakeholder or community-based partners in their projects.

It is important that projects in this research programme be led by a Main Applicant from social sciences/humanities (SSH) and primarily predicated not on the development and demonstration of technological innovation, but rather on enhancing our understanding of societal aspects of transformation in relation to sustainability. At the same time, the concrete challenges around which the needs of and opportunities for societal transformations to sustainability are researched can also provide innovative approaches that will reinvigorate social science analysis. To study the challenges targeted in this call, both inter- and transdisciplinary approaches that enable researchers to address the interconnections and interdependencies between natural and human systems, as well as between science and society, are needed. Applicants are therefore strongly encouraged to include in their research teams relevant expertise from other fields of science, including the natural sciences, and to engage societal stakeholders, including community-based partners, in formulating research problems and co-developing and co-implementing knowledge on transformations. In addition, applications are strongly encouraged to build effective research partnerships with regions of the world where knowledge and expertise relevant to sustainability challenges have thus far been under exploited.

This programme has two major objectives:
- To develop understanding of and promote research on transformations to sustainability which are of significant social, economic and policy concern throughout the world and of great relevance to both academics and stakeholders.
- To build capacity, overcome fragmentation and have a lasting impact on both society and the research landscape by cultivating durable research collaboration across multiple borders, disciplinary boundaries, and with practitioners and societal partners. This includes facilitating the development of new research collaborations with parts of the world which are not often involved in large-scale international research efforts, notably low- and middle-income countries.

The programme is structured around three themes, described in detail in the Programme Text accompanying this Call for Proposals. Every research project should focus on at least one of these themes.

Thematic areas:
1) Governance of societal transformations to sustainability
2) Economy and finance of transformations to sustainability

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3) Well-being, quality of life, identity, and social and cultural values in relation to
transformations to sustainability

Proposals on the above described specific research themes may also include one or both of the
following cross-cutting issues, also described in detail in the Programme Text:

Cross-cutting issues:
   1) Conceptual aspects of processes of transformation
   2) Methodological innovation

The themes and the cross-cutting issues for the programme have been prepared by an international,
multi-disciplinary Theme Expert Group.

Applicants should always consider whether diversity perspectives (such as gender and ethnic background)
are relevant to the research questions the project will examine. If this is the case, these perspectives and
the way they will be treated in the project must be described in the application.

The funding available for this T2S programme over a period of three years has been set at a minimum of
13 M€ across the international funding partners.

The technical aspects of the call are described in the rest of this document.
2 Eligibility

Proposals must comply with all eligibility requirements listed under this section as well as under Heading 3. "Submission of Proposals and Assessment Procedure" and the individual organisation eligibility criteria which can be found in Appendix 1.

2.1 Topics

Proposals must address research topics falling within the scientific scope of the call, as defined in the Programme Text. **Proposals must address one or more of the three themes as defined.** However, it is expected that applicants will develop and add to these areas. In addition, applicants are encouraged to consider linkages across the themes and invited to reflect upon one or both of the following cross-cutting issues: (1) conceptual aspects of transformation processes and (2) methodological innovation.

2.2 Consortia

This call for proposals brings together the need for working on societal transformations to sustainability challenges in an interdisciplinary and transdisciplinary way. To study the challenges targeted in this call, inter-and transdisciplinary approaches are needed to address where appropriate the interconnections and interdependencies between the natural and human systems. The generated synergies and linkages will open new avenues of inquiry, produce new robust interdisciplinary knowledge, and generate new models and opportunities. Through this call, the NORFACE Network and the Belmont Forum will contribute to re-structuring the broad field of sustainability research by placing social sciences, as well as the humanities, at the heart of interdisciplinary research in a step change in scale and scope for research programming on this topic. Consortia may consist of partners active across several positions within the research and development system (i.e. innovation, applied research, fundamental research) and across disciplines (e.g. natural sciences, social sciences and humanities, and engineering).

Each consortium should be led by a Main Applicant from social sciences/humanities (SSH) and bring into being integrated teams of scientists from relevant other academic disciplines (e.g. natural sciences, technical and engineering sciences) as appropriate, and engage with societal stakeholders to produce new knowledge and perspectives that can contribute to finding equitable and durable solutions to the challenges of sustainability in specific contexts.

Each proposal must be written by a project team. A project team must involve at least three national research teams, based in three different countries, and eligible for the funding from three different T2S funders (see list below). It is strongly encouraged that consortia contain at least two national research teams eligible for funding from two different EU member states or associated countries and at least one applicant from another country participating in this call in order to be able to take advantage of the funds provided by the European Commission. The eligibility of national teams is subject to national eligibility rules, or to ISSC eligibility rules, as appropriate (see Appendix I Eligibility criteria per funding agency). In case of doubt, the relevant funding agency can advise on eligibility. **If one of the national research teams in a consortium is not eligible and/or the trans-national three-country minimum requirement is not met, the whole consortium will be considered ineligible and the proposal will not be evaluated.**

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1 The involvement of relevant partners, academic and non-academic, in the co-design, co-production and co-dissemination of research, in a joint effort to address common and complex problems, is called transdisciplinary research.
2 Subject to eligibility rules. For further information cf. Appendix I Eligibility criteria per funding agency
3 Subject to eligibility rules. For further information cf. Appendix I Eligibility criteria per funding agency
4 An eligible national/regional team may consist of only one researcher for some T2S funders. For further information cf. Appendix Eligibility criteria per funding agency
5 Subject to eligibility rules. For further information cf. Appendix I Eligibility criteria per funding agency
The call involves funders from the following countries:

EU Member States and Associated Countries: Belgium, Finland, France, Germany, Ireland, Latvia, Luxembourg, Netherlands, Norway, Slovenia, Sweden, Switzerland, United Kingdom.

Non-European: Brazil, Chinese Taipei, Japan, USA.

The ISSC will fund research teams in low or lower-middle-income countries only. Please note that researchers from low- and middle-income countries are also eligible as co-investigators on projects funded by the ESRC.

The Research Council of Lithuania (RCL) will allocate funding to researchers based in Lithuania, participating as Cooperation Partners in a T2S proposal. For more information, please visit the Specific Annex for Lithuania at the end of Appendix I.

The added value resulting from transnational cooperation must be addressed in the proposal. There is no limit to the total number of partners who may be involved in a project.

Main Applicants, Co-applicants and team members must be eligible for funding from these funders, irrespective of their individual nationality. Depending on the national/ISSC eligibility rules, eligible applicants may come from organisations such as:

- Research organisations (universities, university colleges, research institutes or other authorities with research undertakings)
- NGOs, citizens’ representatives
- Cities, municipalities, regions
- Companies (from industry/large companies to SMEs)
- Consumers (e.g. business enterprises, test households)

The inclusion of stakeholder or community-based partners is considered to be critical to the process of societal transformation, and applicants are expected to demonstrate how they engage with appropriate stakeholders during the lifespan of the project. Proposals should reflect on how they intend to do so. Contributions by these partners may be either cash or in-kind.

Each project team should strive to include researchers at an early stage of their careers, including post-doctoral researchers and PhD students unless indicated otherwise in the country-specific guidance (see Appendix 1). T2S strives to promote gender equality, and encourages female researchers to apply.

Participation is strictly limited to a maximum of two proposals per applicant (whether as Main Applicant, Co-applicant or team member) and no individual can act as Main Applicant in more than one proposal. In the Full Proposal stage, applicants who are involved in two proposals will be asked to show how they will distribute their time between the two projects, should they be funded. Teams must convincingly justify the participation of all applicants involved in their proposal.

Teams that have submitted an Outline Proposal who are invited to the Full Proposal stage are not allowed to make changes to the core of the proposal or the project team. However, in case of force majeure, changes in the consortium are allowed. Force majeure cases include (a) a Main or Co-applicant changed her/his affiliation and after consultation with the Coordination Office or (b) following an explicit recommendation by the evaluation panel and in line with (national) eligibility requirements. You are advised to consult the contact point at your national funding agency (see Appendix 1) if you have doubts relating to this matter before submitting an Outline Proposal. Adding Cooperation Partners who are not

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6 See Annex A, page 21-22 of the Call for Proposals for the countries eligible to access the European Commission funds.

7 Covering 83 low- and lower-middle-income countries, according to the World Bank Country and Lending Groups on the date of the publication of the call. See: https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups

8 Subject to ESRC eligibility rules (see Appendix 1)

9 Some of the T2S funding agencies may not fund all of these types of organisation. For further information cf. Appendix I Eligibility criteria per funding agency

10 Such engagement could include co-design or co-production with stakeholder or community-based partners; liaising with non-academic partners about how best to communicate research findings to target audiences; participation of stakeholders in activities where possible.

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eligible for funding in the Full Proposal is allowed. At the Full Proposal phase the relevant funding organisations will again check and confirm the eligibility of all applicants involved in a proposal.

**Roles within a consortium**

**Main Applicant’s role**

Every project has one Main Applicant. The Main Applicant will be a social sciences/humanities (SSH) researcher responsible for carrying out and managing the project. (S)he will be the contact point for T2S on behalf of all the applicants and responsible for the administrative and financial management of the overall project, should it be funded. In addition, the Main Applicant is responsible for leading the project activities at her/his own institution. The Main Applicant must be based at an institution eligible for the funding of a participating T2S agency. Main Applicant status is not limited to researchers at any specific career stage, though national/ISSC eligibility rules apply.

**Co-applicants’ role**

The Co-applicants are responsible for the administrative and financial management for the national part of a project, should this be funded. Each Co-applicant is responsible for leading the project activities at her/his own institution. Each Co-applicant should be based at an institution eligible for the funding of a participating T2S agency. Co-applicant status is not limited to researchers at any specific career stage, though relevant national/ISSC eligibility rules apply.

There may be more than one Co-applicant from one country but only one Co-Applicant per University/Research Institution in a given proposal. Other project team members at the University/Research Institution should be listed under the heading ‘Other research team members’ in the Outline Proposal template. If there is more than one applicant from a country, one of them needs to be identified as the national contact point in the proposal template. If this is not clear, the T2S Coordination Office will consider the first person named as National Contact Point. Communication from the Coordination Office or the T2S partners may be sent to this contact point, who will be responsible for distributing the information to the other partners in the country.

**Other project team members**

All other eligible project team members participating in the proposal can be listed as other project team members. PhD students or Postdocs whose names are not yet known can be included as “NN”. Some funding agencies are able to cover the personnel costs of researchers from outside their country. If these personnel costs are eligible these researchers should also be listed as other project team members.

**Cooperation partners**

Additional personnel from countries not involved in the call or ineligible for support from T2S funding agencies can be part of project teams once the three agency minimum is met. Unless specified otherwise in the country-specific eligibility requirements, however, they will be required to bring their own support.11 Those researchers and non-academic partners who are not eligible for funding from the T2S programme funders but who would like to contribute to the project are invited to participate as Cooperation partners. No funding can be requested for them from the T2S programme. The applicants invited to submit a Full Proposal will be asked to demonstrate the sources from which the participation of the Cooperation partners will be funded. Contributions by external partners can be either cash or in-kind. T2S accepts personnel input and the material contributions as in-kind co-funding on the condition that these are capitalised and that they form an integral part of the project. This should be made clear in the description and planning of the research.

Please note that the applicants who submitted the Full Proposal should be the same for the Outline Proposal stage. However, a team is allowed to add Cooperation partners to the consortium between the Outline and Full Proposal stage.

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11 Subject to eligibility rules. For further information cf. Appendix I Eligibility criteria per funding agency. Please note that the Research Council of Lithuania (RCL) has decided to allocate funding to researchers based in Lithuania, participating as Cooperation partners in a T2S proposal. For more information, please visit the Specific Annex for Lithuania at the end of Appendix I.
2.4 Funding

Available funding
Small and large projects are equally welcome. The total funding requested from the T2S partners must not exceed €1,500,000 across all participating partners. A justification of the requested budget will be required. Projects requesting more than €1,500,000 will be ineligible, even at the Outline stage. Please see Table 1 below for the available budgets per country/funder.

Eligible costs
All costs must be eligible according to the national eligibility rules available under “National Eligibility Requirements” (see Appendix 1). Applicants may request funding for personnel costs, consumables, travel costs, equipment, subcontracting and other costs in accordance with the relevant national research funding rules. Please read the “National eligibility requirements” to verify the eligibility of specific budget items according to the rules of your funding organisation. In case of doubt, applicants should consult their respective national contact person who can advise on funding rules.

Projects will be expected to engage in knowledge exchange activities regarding the outcomes of the project, and engage stakeholders as early in their project as possible. Costs for these activities can be included in the requested budget in addition to the costs for research according to national funding rules.

The estimated budget must be given in Euros only (unless the national annexes specifically state differently for the funding agencies’ budget tables to be provided in the Full Proposal stage) and be tabulated according to the proposal template provided. For applicants from countries outside the Eurozone, please convert your budget to Euros and indicate the exchange rate used. In the Outline Proposal form only estimated costs need to be stated, but these should still be as realistic as possible. For this, it is strongly advised to consider the national guidelines for eligible costs that must be used at the Full Proposal stage. Estimated costs can be updated in the Full Proposal with a maximum change of 10%.

Project duration
Projects can last up to 36 months. Projects can start in June 2018 at the earliest, and must have started by 1 December 2018 at the latest. All national research teams within a given project will be expected to start their projects at the same time. Proposals for projects lasting more than 36 months will be declared ineligible.
Table 1: Available budget from each Funding Agency

Table 1 shows the minimum national and regional contribution that is available for this Call from each funder. The total national and regional budgets for this call will be co-funded by the European Commission under Grant Agreement No 730211. The additional budget of the European Commission will be used in a flexible way (not proportionally to national and regional budgets) to fund as many high quality projects on the ranking list as possible. A total amount of public funding of has been set at a minimum of 13 M€, including support from Horizon 2020.

Table 1 also shows whether funding agencies are able to fund researchers from low- and middle- income countries and non-academic partners. It serves as an introduction to the national annexes in Appendix 1; please consult Appendix 1 for the detailed eligibility criteria per funding agency and contact the national contact person for more information.

<table>
<thead>
<tr>
<th>Country</th>
<th>Agency</th>
<th>Total amount of Funding</th>
<th>Max. amount per project</th>
<th>Possibility to fund researchers from low- and middle-income countries</th>
<th>Possibility to fund non-researchers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Belgium-Flanders</td>
<td>FWO</td>
<td>€300,000</td>
<td>€150,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Belgium-Wallonia &amp; Brussels Federation</td>
<td>FNRS</td>
<td>€200,000</td>
<td>€200,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Brazil</td>
<td>FAPESP</td>
<td>€600,000</td>
<td>€200,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Chinese Taipei</td>
<td>MOST</td>
<td>€1 M</td>
<td>€225,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Finland</td>
<td>AKA</td>
<td>€380,000</td>
<td>€190,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>France</td>
<td>ANR</td>
<td>€800,000</td>
<td>€300,000</td>
<td>Only travel costs</td>
<td>Possible</td>
</tr>
<tr>
<td>Germany</td>
<td>BMBF/SLDR</td>
<td>€1 M</td>
<td>-</td>
<td>Not possible</td>
<td>Possible</td>
</tr>
<tr>
<td>Ireland</td>
<td>IRC</td>
<td>€380,000</td>
<td>€182,500</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Japan</td>
<td>JST</td>
<td>€500,000</td>
<td>€200,000</td>
<td>Only travel costs</td>
<td>Only travel costs</td>
</tr>
<tr>
<td>Latvia</td>
<td>VIAA</td>
<td>€180,000</td>
<td>€180,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Luxembourg</td>
<td>FNR</td>
<td>€500,000</td>
<td>€250,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Netherlands</td>
<td>NWO</td>
<td>€750,000</td>
<td>€250,000</td>
<td>Only travel costs</td>
<td>Only travel costs</td>
</tr>
<tr>
<td>Norway</td>
<td>RCN</td>
<td>€440,000</td>
<td>€220,000</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>Slovenia</td>
<td>ARRS</td>
<td>€150,000</td>
<td>€150,000</td>
<td>Not possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Sweden</td>
<td>VR</td>
<td>€500,000</td>
<td>€250,000</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>Switzerland</td>
<td>SNSF</td>
<td>€825,000</td>
<td>€450,000</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>United Kingdom</td>
<td>ESRC</td>
<td>€1,9 M</td>
<td>€500,000</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>USA</td>
<td>NSF</td>
<td>€450,000</td>
<td>€180,000</td>
<td>Under conditions</td>
<td>Possible</td>
</tr>
</tbody>
</table>
**Call for Proposals: Transformations to Sustainability (T2S)**

| Low- and lower-middle income countries | ISSC | €500,000 | €125,000 | Low- and lower-middle income countries only. The ISSC will fund a maximum of one national research team in a low- or lower-middle-income country per project. | Possible |

3. Submission of Proposals and Assessment Procedure

3.1 Procedure

Proposals to the T2S research programme will be processed in two stages.

In the first stage, Outline Proposals are invited with a deadline of April 5, 2017, 17.00 Eastern Daylight Time (22.00 GMT). Proposals received after the deadline will not be eligible. Only proposals that meet all the conditions set out in this call text are eligible and will be included in the assessment procedure. The eligible proposals will be assessed in an “open competition”, in which an international panel of experts identifies a shortlist of proposals that are potentially fundable based on the programme’s assessment criteria. The Main Applicants of the proposals invited to the second stage will receive a notification in June 2017, inviting them to submit a Full Proposal.

Proposals in the Full Proposal round must be submitted by September 26, 2017, 17.00 Eastern Daylight Saving Time (21.00 GMT). The submitted eligible proposals will be assessed by external expert reviewers. Applicants will be given the opportunity to comment on the reviews, after which an international panel of experts will assess the proposals, the reviews and the rebuttals, arriving at a list of projects recommended for funding. Based on the ranking by the Expert Panel, and taking into account the available (agency) budgets, the participating national and regional funding agencies in the T2S Board will take funding decisions, depending on the national rules. The T2S Board expects to make funding decisions in April 2018.

3.2 Outline Proposals

The Outline Proposals are abridged versions of the Full Proposals. The Outline Proposals should be submitted via the BF GO online portal (https://bfgo.org). Any required supplemental documents should be uploaded in PDF format. Guidance on how to submit the outline proposal is available on the BF GO and NORFACE T2S website. Proposals received after the deadline, or failing to comply with the published requirements will be rejected. All Outline Proposals must be completed in English and follow the proposal structure as set out in the proposal template available on the NORFACE T2S and the BF GO website. Incomplete proposals and proposals not using this structure will be declared ineligible and will not be evaluated.

Only one proposal form per Outline Proposal may be submitted and must contain information on the following items.

1. Title of the project and acronym

2. Name, affiliation and contact details of the Main Applicant
Give the name, title(s), gender, affiliation, telephone numbers, email address and postal address at which the Main Applicant can be reached during the whole proposal, assessment process, the date when the Main Applicant received her/his PhD and the percentage of working time s/he will spend on the project. Specify if the Main Applicant is participating in another proposal submitted to this programme.

3. Name, affiliation and contact details of the Co-Applicants
Please state the Co-applicants per country. Please name only one Co-Applicant per University/Research Institution. Other project team members should be listed under heading 5 ‘Other research team members’. Give the names, title(s) and postal address at which the Co-Applicants can be reached during the whole application and assessment process. Give telephone numbers, email address, the date when the Co-applicant received her/his PhD and the percentage of working time s/he will spend on the project. Specify
if a Co-Applicant is a participant in another proposal submitted to this programme. If there is more than one applicant from one country, identify the national contact point.

4. Other team members (eligible for funding)  
Please give the details of all other eligible project team members participating in the proposal. Give the name, institute, the percentage of working time to be spent on the project and whether s/he participates in another Outline Proposal. Where the names of PhD students or Postdocs are not yet known, include them as NN and give as much information as available.

5. Cooperation partners (not eligible for funding)  
If applicable, please include here information about partners in the project who will be cooperating but are not eligible for T2S funding (see page 6 & 7 for an explanation). Give the name, institute, the percentage of working time to be spent on the project and the source of funding.

6. Summary of the project (max. 1500 characters, excluding spaces, add word count)  
Please include the following information: relevance of the research topic to the call; objectives, potential outcome and impact of the project; explanation of how the project will be organised.

The summary will be made public if the project is funded by T2S. Make sure the abstract clearly describes what you are going to investigate, why you are going to investigate this subject and which results you expect to find.

7. Keywords (max. 8)

8. Discipline or disciplines of the project

9. Duration of the project  
Please specify the date on which the project is intended to commence and the duration of the project. Implementation of the research project must start within six months of the date of the award letter and within the time frame outlined above.

10. Have you submitted the same idea elsewhere or have you requested any additional grants for this project from any other institution?  
Please specify whether you have requested any additional grants for this project from any other institution.

11. Project description (max. 2500 words, excluding spaces, excluding references, add word count). It is recommended that this section include the following:  
a) project description;  
b) data management plan;  
c) potential impact of research;  
d) references.

a) The project description should explain in clear language:  
- Which research question does the proposal seek to answer?  
- Why is this research question significant? How will it contribute to the theme or themes of the call? If applicable, how does the proposal cut across different themes of the programme?  
- By what methods and work plans will the research question be tackled?  
- In what ways is the project transformative? What are the main theoretical and conceptual innovations expected from the project?  
- What added value will be gained by undertaking the research as a collaborative project with the proposed partners? What is the transnational added value of the project?  
- How will the applicants and cooperation partners contribute to the project? What research expertise and competence do they bring to the project proposal?  
- What are the expected outcomes and impacts of the research project? How will findings be shared with interested parties?  
- Please clearly delineate the strengths, weaknesses and suitability of secondary data sources and thoroughly justify any new data collection to address the research questions.
b) Data management plan\textsuperscript{13}

The plan should include information about the types of data, information, products, model code, or other products being generated by the project. It should outline the accessible archives, data journals, or other open repository where these products and accompanying metadata will be housed. Please clearly delineate the strengths, weaknesses and suitability of secondary data sources and thoroughly justify any new data collection to address the research questions. Please note that the programme may support the collection of new data, be that quantitative or qualitative, but only where data needed to address key questions does not exist. If the research involves primary data collection or acquisition, please indicate how existing datasets have been reviewed and state why currently available datasets are inadequate for this proposed research.

c) Potential impact of research\textsuperscript{14}

- What are the expected outcomes and impact of the research project? What are your anticipated pathways to impact?
- Who are the potential users, both academic and non-academic, of the research?
- Which activities will be deployed to maximise knowledge exchange with potential users?

d) References

Please list the references used.

\textbf{12. Timetable} of the project (max. 500 words, excluding spaces, add word count).

\textbf{13. An estimated budget} for the project.

Please include the total requested funding per participating national applicant as well as the total budget requested from T2S. If a cooperation partner from a non-eligible organisation contributes to the programme, please include their contribution in the budget. The proposal form contains two tables that must be used for the estimated budget. Please consider national eligibility requirements.

The budget in the Outline Proposal needs only to contain an indicative funding request but this should still be as realistic and careful as possible. Applicants invited to submit a Full Proposal will be required to provide fully accurate, detailed and justification of resources at that stage. For applicants from countries outside the participating countries, please convert your budget to Euros and indicate the exchange rate used and the source\textsuperscript{15}.

\textbf{14. Curriculum vitae of the research team including a publication list.}

Provide a short CV of the Main Applicant and the Co-Applicants, and of Cooperation Partners if applicable. The Main Applicant’s and Co-Applicant’s CV may cite a maximum of 10 relevant publications. Each Co-Operation Partner’s CV may cite a maximum of 5 relevant publications. The CV of the Main Applicant should include the information on her/his experience leading national or international collaboration research projects. CV should be no longer than 2 pages each.

Please mark key publications which are directly relevant to the proposed research with an S at the beginning of the publication details (the S stands for significant). Please only include manuscripts which have been accepted for publication or which have already been published as part of the recognised literature.

\textbf{Evaluation of the Outline Proposals}

Eligible Outline Proposals will be reviewed by an international independent evaluation panel, consisting of experts nominated by the Call partners and appointed by the T2S Board. The composition of the T2S evaluation panel will be available on the T2S website after the evaluation of the Full Proposals is finished.

\textsuperscript{13} If needed, data management plan templates are available from the Belmont Forum website.

\textsuperscript{14} The 'impact' criterion should be understood in a broad sense, taking into consideration the project’s impact on the development of science, civilization and society, and not merely its direct practical application or use.

\textsuperscript{15} Please note that the participating funding organisations are not responsible for any impacts on the project budget as a result of fluctuations in exchange rates.

\textit{6 July 2017, version 1.4}
Expertise in the panel aims to cover widely the disciplinary fields targeted in the call. The panel assesses the Outline Proposals comparatively, using the defined criteria (see paragraph 3.4) and subsequently prioritises these accordingly to likelihood of funding, without making use of external referees. The panel will recommend a short list of applicants to the T2S Board to be invited to submit Full Proposals. Based on the ranking by the Expert Panel, and taking into account the available (agency) budgets, the participating national and regional funding agencies in the T2S Board will take funding decisions, depending on the national rules.

All applicants will receive notification of the shortlisting decisions in June 2017. Shortlisted applicants will be invited to submit a Full Proposal, with a deadline of 26 September 2017, 13:00 Eastern Standard Time. All applicants will receive a brief assessment of their proposal from the panel.

3.3 Full Proposals

Full Proposals must be completed in English and follow the proposal structure as set out in the proposal template available on the NORFACE and Belmont Forum websites. Full Proposals should be submitted via the BFGO online portal by September 26, 2017, 17.00 Eastern Daylight Savings Time (21:00 GMT). Any supplemental documents uploaded to BFGO should be in PDF format. Guidance on how to submit the proposal will be available on the BFGO and NORFACE T2S websites. Proposals received after the deadline, or failing to comply with the published call requirements will be rejected. Only the candidates who are invited to submit a Full Proposal by the T2S Board are eligible. The applicants who submit the Full Proposal should be the same as at the Outline Proposal stage. However, a team is allowed to add Cooperation Partners to the consortium between the Outline and Full Proposal stage. Incomplete proposals and proposals not using this structure will not be evaluated. If the stated maximum number of words and/or pages is exceeded, or if the necessary documents are not included, the proposal will be disqualified.

Please note that the national eligibility requirements, or ISSC eligibility requirements, in the Full Proposal stage may vary somewhat from the eligibility requirements in the Outline Proposal stage. Applicants are recommended to carefully check the national, or ISSC, eligibility requirements (see Appendix I).

Please also note that applicants, when writing their Full Proposal, should take into account that the proposals will be read by both topical experts and a broadly composed panel of experts.

Only one proposal template per Full Proposal may be submitted and must cover the following items:

1. Title of the project and acronym

2. Name, affiliation and contact details of the Main Applicant
Give the name, title(s), gender, institution, telephone numbers, email address and postal address at which the Main Applicant can be reached during the whole proposal and assessment process, the date when the Main Applicant received her/his PhD and the percentage of working time s/he will spend on the project. Specify if the Main Applicant is participating in another proposal submitted to this programme.

3. Name, affiliation and contact details of the Co-Applicants
Please state the Co-applicants per country. Please name only one Co-Applicant per University/Research Institution/Organisation. Other project team members should be listed under heading 5 ‘Other research team members’.
Give the names, title(s) and postal address at which the Co-Applicants can be reached during the whole application and assessment process. Give telephone numbers, email address, the date when the Co-applicants received her/his PhD (if applicable), institution and the percentage of working time s/he will spend on the project. Specify if a Co-Applicant is a participant in another proposal submitted to this programme. If there is more than one applicant from one country, identify the national contact point.

4. Other team members (eligible for funding)
Please give the details of all other eligible project team members participating in the proposal. Give the name, institution, the percentage of working time to be spent on the project and whether s/he is
participating in another Outline Proposal. Where the names of PhD students or Postdocs are not yet known, please include them as NN, and include any other information available.

5. Cooperation partners (not eligible for funding)
If applicable, please include here information about partners in the project who will be cooperating but are not eligible for T2S funding (see page 6 & 7 for explanation). Give the name, institute, e-mail address, percentage of working time to be spent on the project and source of funding.

6. Summary of the project (max. 1500 characters, excluding spaces, add character count)
Please include the following information: relevance of the research topic to the call; objectives, potential outcome and impact of the project; explanation of how the project will be organised.

The summary will be made public if the project is funded. Make sure the abstract clearly describes what you are going to investigate, why you are going to investigate this subject and which results you expect to produce.

7. Keywords (max. 8)

8. Discipline or disciplines of the project

9. Duration of the project
Please specify the date on which the project is intended to commence. Note that implementation of the research project must start within six months of the date of the award letter.

10. Have you submitted the same idea elsewhere or have you requested any additional grants for this project from any other institution?
Please specify whether you applied for any additional grants for this project from any other institution.

11. Project description (max. 15 pages, European A4 size, including tables and figures, font “Arial”, font size 11 and line spacing 1.15, excluding references) should be uploaded and should explain in clear language:

a) Research proposal

- What research questions does the proposal seek to answer?
- Why are the research questions significant? How are the questions relevant to the theme or themes of the call? If applicable, how does the proposal integrate different themes of the programme?
- What impact will the project have on theoretical and methodological approaches in the field? By what methods and work plans will the research question be tackled?
- In what ways will the project be transformative? What are the main theoretical and conceptual innovations expected from the project?
- Please explain the relevance and importance of the chosen comparative approach/methods.
- Please clearly delineate the strengths, weaknesses and suitability of secondary data sources and thoroughly justify any new data collection to address the research questions. If the research involves primary data collection or acquisition, please indicate how existing datasets have been reviewed and state why currently available datasets are inadequate for this proposed research.

b) Data Management Plan\(^\text{16}\)
The plan should include information about the types of data, information, products, model code, or other products being generated by the project. It should outline the accessible archives, data journals, or other open repositories where these products and accompanying metadata will be housed. Please also clearly delineate the strengths, weaknesses and suitability of secondary data sources and thoroughly justify any new data collection to address the research questions. If the research involves primary data collection or

\(^{16}\) The Data Management Plan should be included in the Project Description.
acquisition, please indicate how existing datasets have been reviewed and state why currently available datasets are inadequate for this proposed research.

Applicants are encouraged to address the following points (optional) in the Data Management Plan:

1. What data sets of long-term value do you expect that the project will produce? “Long-term” means those data sets that, over time, will or may be of value to others within your research community and/or the wider research and innovation community. Data of long-term value should meet the FAIR principles; i.e. they should be findable, accessible, interoperable and reusable.

2. How do you intend to manage these data during the life of the project to ensure their long-term value is protected? For example, where will the data be held during the project, who will have access, and will a specialised data manager be part of the project team?

3. How will the data be managed after the end of the project to ensure their long-term availability? For example, will the data be published with a Digital Object Identifier (DOI) and/or placed in a recognised long-term repository or data centre, and when will this take place?

4. What supporting documentation and other information do you plan to make available to support this longer-term re-use of the data by others?

5. Do you envisage there being any restrictions on how the data can be accessed or reused?

6. Will there be other types of material (e.g., samples, physical collections, software, curriculum materials) of long-term value produced? If so, what are your plans for ensuring these are also available for the long-term?

7. How have you accounted for the costs required to manage the data and other materials to ensure long-term availability? Please note that some funding agencies request applicants to include these costs in the budget request.

c) International cooperation and composition of the research team and work plan

1. What added value will be gained by undertaking this research as a collaborative project with the proposed consortium? What are the advantages of a transnational project, in its comparative nature for instance?

2. How will the applicants and Cooperation Partners contribute to the project? What research expertise and competence do they bring to the project proposal?

3. What are the plans of the project for including early-career researchers / emerging researchers in the project activities?

4. How is an equitable gender balance sought in the composition of the project team?

5. Please detail the work plan.

d) Potential impact of research

1. What are the expected outcomes and impact of the research project? What are your anticipated pathways to impact?

2. Who are the potential users, both academic and non-academic, of the research?

3. What activities will be deployed to maximise knowledge exchange with potential users?

Applicants are expected to demonstrate consideration of who may be interested in the outcomes of the proposed work in their proposal. In projects where non-academic partners are participating, project leaders must submit meaningful and binding arrangements for the management of Intellectual Property Rights (IPR) issues. In the case of research that does not lend itself to knowledge utilisation as described in the aforementioned terms, the researcher is requested to explain why s/he believes that knowledge utilisation is not applicable to the proposed research.

e) References

Please list the references used.

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17 The ‘impact’ criterion should be understood in a broad sense, taking into consideration the project’s impact on the development of science, civilization and society, and not merely its direct practical application or use.
12. **Timetable** of the project (max. 500 words, including tables and figures, excluding spaces, add word count)

13. **Communication and dissemination** (max. 500 words, excluding spaces)
   - Plan of publications resulting from the research;
   - Plan of dissemination/knowledge exchange: describe the main target groups, instruments and how knowledge will be transferred;
   - Knowledge utilisation: describe how the utilisation of the developed knowledge will be realised;
   - Plan for the storage of and access to data collected, if applicable.

14. **Management and monitoring** (max. 500 words, excluding spaces)

Please describe how the project as a whole will be managed and how progress towards the objectives and anticipated results will be monitored and ensured.

15. **Ethical issues** (max. 500 words, excluding spaces)

Please describe whether there are any ethical issues raised by the proposed research and/or storage of data and if so, how they are addressed appropriately and comprehensively by the research proposal and the project design.

16. **Budget for the project**

Please give a summary of the budget here, indicating the total requested funding per participating national applicant as well as the total budget requested from the T2S programme. If a Cooperation Partner from a non-eligible organisation contributes to the programme, please include their contribution in the budget.

Please consider the national eligibility requirements and provide the detailed financial budget table of each participating country as an annex. The budget should include fully accurate, detailed and justified costs.

For applicants from countries outside the Euro-zone, please transfer your budget to Euro and indicate the exchange rate used and the source.¹⁸

17. **Justification of resources** (max. 1 page per funding agency, European A4 size, including tables and figures, font “Arial”, font size 11 and line spacing 1.15)

Please provide a justification of resources (JoR) for each and all agencies involved in the funding request (i.e. one per participating funding agency). Justification should be provided for the overall level of funding requested in respect to the value added of the proposed research. The JoR should explain why the resources requested are appropriate for the research proposed taking into account the nature and complexity of the research proposal. The JoR should be no more than 1 page of A4 for each funding agency. One document should be uploaded, containing all JoRs.

**Mandatory annexes to the Full Proposal**

- **Curriculum vitae of the consortium members and a publication list**

  Provide a short CV (no more than two pages each) of the Main Applicant, Co-Applicants, Other Team Members and of Cooperation Partners if applicable. The Main Applicant’s and Co-Applicant’s CV may cite a maximum of 10 relevant publications. Each Other Team Member’s and Cooperation Partner’s CV may cite a maximum of 5 relevant publications. The CV of the Main Applicant should include the information on her/his experience leading national or international collaboration research projects.

  Please mark key publications which are directly relevant to the proposed research with an S (for “significant”) at the beginning of the publication details (the S stands for significant). Please only include manuscripts which have been accepted for publication or which have already been published and are part of the recognised literature.

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¹⁸ Please note that the participating funding organisations are not responsible for any impacts on the project budget as a result of fluctuations in exchange rate.
• Please include the financial budget table of each participating country as one annex. The financial budget tables can be found on the NORFACE and Belmont Forum websites.

• In a project where Cooperation Partners from non-eligible institutions are participating, a letter of commitment must be included as an annex to the proposal summarising the commitment of the cooperation partner to the project and demonstrating the source of funding.

• (for proposals requesting funds from the UK Economic and Social Research Council): All shortlisted proposals involving ESRC-funded components/UK investigators will also be required to submit a two page ODA Compliance Statement alongside their Full Proposal which will provide the basis on which decisions will be made about whether the proposal is eligible for this call (please see UK National Annex for further details).

Please note that no other annexes than the ones described above may be included in the application, unless they concern annexes explicitly requested in the National Eligibility requirements. Additional annexes shall be removed.

Evaluation of the Full Proposals

Full Proposals are submitted for assessment to external, independent referees for peer review. In this second stage, there is a possibility to submit a list of two non-referees who will NOT be asked to assess the application as referees. This is optional for every Main Applicant. The suggestions can be sent to t2s@nwo.nl before 1 October 2017. Each Full Proposal will be evaluated by a minimum of three external referees. The reviews by the referees will be sent anonymously to the Main Applicant for comments before the evaluation panel assesses the proposals. The reports will be sent to the Main Applicant in January 2018 and s/he will only have five working days to respond. Main Applicants will not be informed of the identity of the referees.

In addition, in the panel at least two assessors will be assigned as rapporteur for each Full Proposal. The assessments received from the external referees, the comments received from the applicant and the pre-assessment from the rapporteurs will form the starting point for a joint review carried out by an international evaluation panel consisting of experts in the field.

The panel will prepare a consensus evaluation report on each Full Proposal based on the proposal, the external reviews, the comments by the Main Applicant and the panel discussion. The panel will summarise its assessment in a consensus report. The panel will make a funding recommendation to the T2S Board. Based on the ranking by the Expert Panel, and taking into account the available (agency) budgets, the participating national and regional funding agencies in the T2S Board will take funding decisions, depending on the national rules. T2S Board will take the final funding decisions by April 2018. Main Applicants of awarded proposals will be asked to draw up a cooperation agreement detailing the administrative arrangements in the project. The applicants will receive the consensus report of the evaluation panel as feedback after the final funding decisions. The recommendations and requirements of the consensus report have to be implemented in the project.

3.4 Evaluation Criteria

Eligibility criteria for Outline and Full Proposals are published according to national/ISSC funding rules in Appendix 1.

Formal criteria for Outline and Full Proposals have been described in section 3.2 and are summarised here as follows:

1) Proposal has been submitted on time and with all requested documents.
2) Proposal includes eligible organisations according to national eligibility criteria (see Appendix 1).
3) Applicants come from at least three eligible funders as listed in the Section 2.2 on Consortia.
4) Each consortium should be led by a Main Applicant from social sciences/humanities (SSH) and bring into being integrated teams of scientists from natural science and other sciences as relevant, and engage with societal stakeholders to produce new knowledge and perspectives that
can contribute to finding equitable and durable solutions to the challenges of sustainability in specific contexts as listed in the Section 2.2 on Consortia.

5) Project duration is 36 months maximum.
6) The total funding requested from the T2S partners must not exceed € 1,500,000 across all participating partners.
7) Proposal and annexes are written in English.
8) Proposal does not exceed word and page limits.
9) Proposals meet any additional country-specific or ISSC-specific criteria, as outlined in Appendix 1.

All eligible Outline Proposals and Full Proposals are assessed against three sets of criteria: (1) scientific excellence, (2) quality and efficiency of the implementation and the management, and (3) potential impact. All three sets of criteria will be taken into consideration and will be given equal weight. Each criterion will be scored on a scale from one to five, with a minimum threshold of three on each criterion being necessary for the proposal to be considered for funding.

**Scientific excellence - quality of the transnational project**
- Sound concept, and quality of objectives
- Transformative research agenda
- Progress beyond the state-of-the-art
- Quality and effectiveness of the scientific and technological methodology, data collection and associated work plan

**Quality and efficiency of the project implementation and management plan**
- Appropriateness of the management structure and procedures
- Expertise and relevant experience of the individual applicants and cooperation partners
- Quality of the consortium as a whole (including whether the consortium is social sciences and/or humanities led and the degree of complementarity and inter- and/or transdisciplinarity)
- Appropriate allocation and justification of the resources to be committed (budget, staff, equipment)

**Potential impact**
- The scientific and societal impact
- The fit to objectives and research themes of the programme
- The extent to which research is likely to be of value to stakeholder communities
- Appropriateness of measures for the dissemination and/or exploitation of transnational project results, and management of intellectual property

Eligible Full Proposals will be submitted to the Evaluation Panel. The Evaluation Panel draws up recommendations and qualifies the proposals with a score. Each Full Proposal will be evaluated by at least three independent experts. (Note: To be eligible for funding provided by the European Commission, the T2S eligible Full Proposals will be ranked according to the evaluation results and the selection will be made on the basis of this ranking.)

Based on the ranking by the Expert Panel, and taking into account the available (agency) budgets, the participating national and regional funding agencies in the T2S Board (GPC) will take funding decisions, depending on the national rules. Funding decisions are final, and cannot be appealed. A written statement on the evaluation of each Full Proposal will be sent by the Call Secretariat to the Main Applicants. The Call Secretariat will inform the Main Applicants of projects that have been recommended for funding, on the subsequent contracting procedure.
### 3.5 Timeline

<table>
<thead>
<tr>
<th>Date Range</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>October 2016</td>
<td>Pre-announcement of the programme</td>
</tr>
<tr>
<td>January 2017</td>
<td>Launch of the programme and publication of the Call documents</td>
</tr>
<tr>
<td>5 April 2017, 17.00 Eastern Daylight Time (22.00 GMT).</td>
<td>Deadline submission Outline Proposals</td>
</tr>
<tr>
<td>April - June 2017</td>
<td>Evaluation of Outline Proposals</td>
</tr>
<tr>
<td>June 2017</td>
<td>Applicants will be informed about the decision on Outline Proposals</td>
</tr>
<tr>
<td>26 September 2017, 17:00 Eastern Daylight Savings Time (21:00 GMT)</td>
<td>Deadline submission Full Proposals</td>
</tr>
<tr>
<td>October - December 2017</td>
<td>Peer-review of Full Proposals by external reviewers</td>
</tr>
<tr>
<td>January 2018</td>
<td>Response by applicants to external reviews</td>
</tr>
<tr>
<td>February 2018</td>
<td>Panel meeting to evaluate Full Proposals</td>
</tr>
<tr>
<td>March 2018</td>
<td>National decision-making procedures</td>
</tr>
<tr>
<td>April 2018</td>
<td>Applicants will be informed about the decision on Full Proposals</td>
</tr>
</tbody>
</table>
4. Other Information

4.1 Programme coordination

The T2S Coordination Office is located at the Netherlands Organisation for Scientific Research (NWO) and National Science Foundation (NSF, USA). The Coordination Office has the responsibility for the overall coordination and technical organisation of the Call.

The T2S Board, dealing with strategic issues, represents the highest authority in decision making, in which all T2S partners are represented. The T2S Board will decide on the projects to be recommended for national funding, and those to be rejected. Formal funding decisions are made by the individual partner organisations and are subject to the policies and guidelines of the partner organisations.

The T2S Management Team will advise the T2S Board on the implementation of its strategy. The T2S Management Team consists of one staff member from each participating funding organisation. The Management Team member will act as the national contact point for the applicants to give information on or explain the Call procedures as well as national rules and procedures (see list of members in chapter 4.5).

4.2 Research Integrity

When preparing the proposal and carrying out the research project, the T2S Board expects applicants to adhere to rules of good research practice as outlined in The European Code of Conduct for Research Integrity. The principles of integrity include, among others, fairness in providing references, giving credit, honesty in communication and impartiality and independence.

4.3 Data Management and Open Access

All proposed projects will require a data management plan. If needed, data management plan templates are available from the Belmont Forum website. At the Outline Proposal stage, only a brief summary is required. If your submission is invited to the full proposal stage, a more complete plan must be submitted as part of the full proposal packet. The plan should include information about the types of data, information, products, model code, or other products being generated by the project. It should outline the accessible archives, data journals, or other open repository where these products and accompanying metadata will be housed.

Open access, is intended to improve and promote the dissemination of knowledge and the availability of data, thereby improving the efficiency of scientific discovery and maximising the return on public research funding bodies’ investment in R&D. Beneficiaries funded are required to deposit data collected in the course of T2S projects, and peer-reviewed articles resulting from their projects to an institutional or subject-based repository. They are expected to make their best efforts to ensure open access to data and peer-reviewed articles as soon as possible, taking advantage of national or international arrangements where these are available.

4.4 Avoid overlap with research commissioned on the topic

Applicants should pay due regard to the focus of research commissioned. Proposals should consider the achievements of other European and (inter)national initiatives recently completed, underway, or at an advanced stage of planning,
4.5 Consortium Agreement

Each project recommended for funding is strongly encouraged to have a signed consortium agreement between all partners prior to the start of the project, at least addressing the following topics:
- Internal organisation and management of the consortium
- Intellectual Property arrangements
- Settlement of internal disputes

4.6 Further information

For additional information, please contact the T2S Coordination Office (NSF, The United States, t2s-us@nsf.gov or NWO, the Netherlands, t2s@nwo.nl) or the T2S contact person at your national funding agency. The following contact persons from the participating national research councils and agencies are available for questions regarding the Call and procedure (see Appendix 1).

<table>
<thead>
<tr>
<th>Country</th>
<th>Funding Organisation</th>
<th>Contact Person, T2S Management Team</th>
<th>E-Mail</th>
</tr>
</thead>
<tbody>
<tr>
<td>Belgium Flanders*</td>
<td>FWO – Research Foundation-Flanders (Brussels)</td>
<td>Olivier Boehme Toon Monbaliu</td>
<td><a href="mailto:eranet@fwo.be">eranet@fwo.be</a></td>
</tr>
<tr>
<td>Belgium Wallonia &amp;</td>
<td>FNRS - Fund for Scientific Research (Brussels)</td>
<td>Joël Groeneveld</td>
<td><a href="mailto:joel.groeneveld@frs-frns.be">joel.groeneveld@frs-frns.be</a></td>
</tr>
<tr>
<td>Brussels Federation*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Brazil</td>
<td>FAPESP - São Paulo Research Foundation (Sao Paulo)</td>
<td>Alexandre Roccatto</td>
<td><a href="mailto:ARoccatto@fapesp.br">ARoccatto@fapesp.br</a></td>
</tr>
<tr>
<td>Chinese Taipei</td>
<td>MOST – Ministry of Science and Technology (Taiwan)</td>
<td>Louis Chen</td>
<td><a href="mailto:ymchen@most.gov.tw">ymchen@most.gov.tw</a></td>
</tr>
<tr>
<td>Finland*</td>
<td>AKA – Academy of Finland (Helsinki)</td>
<td>Minna Söderqvist</td>
<td><a href="mailto:minna.soderqvist@aka.fi">minna.soderqvist@aka.fi</a></td>
</tr>
<tr>
<td>France*</td>
<td>ANR – Agence nationale de la recherche (Paris)</td>
<td>Xavier Engels Pierre-Olivier Pin</td>
<td><a href="mailto:xavier.engels@agencerecherche.fr">xavier.engels@agencerecherche.fr</a> <a href="mailto:pierre-olivier.pin@agencerecherche.fr">pierre-olivier.pin@agencerecherche.fr</a></td>
</tr>
<tr>
<td>Germany*</td>
<td>BMBF (Federal Ministry of Education and Research)</td>
<td>Michaela Thorn</td>
<td><a href="mailto:michaela.thorn@dlr.de">michaela.thorn@dlr.de</a></td>
</tr>
<tr>
<td>Ireland*</td>
<td>Higher Research Authority (Irish Research Council) (Dublin)</td>
<td>Fiona Davis Aileen Marron</td>
<td><a href="mailto:fdavis@research.ie">fdavis@research.ie</a> <a href="mailto:amarron@research.ie">amarron@research.ie</a></td>
</tr>
<tr>
<td>Japan</td>
<td>JST - Japan Science and Technology Agency (Tokyo)</td>
<td>Shinji Kanayama Hiroshi Tsuda</td>
<td><a href="mailto:belmont@jst.go.jp">belmont@jst.go.jp</a></td>
</tr>
<tr>
<td>Latvia*</td>
<td>VIAA – State Education development agency (Riga)</td>
<td>Uldis Berkis</td>
<td><a href="mailto:Uldis.Berkis@viaa.gov.lv">Uldis.Berkis@viaa.gov.lv</a></td>
</tr>
<tr>
<td>Lithuania</td>
<td>RCL – Research Council of Lithuania (Vilnius)</td>
<td>Kornelija Janaviciute</td>
<td><a href="mailto:kornelija.janaviciute@lmt.lt">kornelija.janaviciute@lmt.lt</a></td>
</tr>
<tr>
<td>Luxembourg*</td>
<td>FNR - Luxembourg National Research Fund</td>
<td>Asaël Rouby</td>
<td><a href="mailto:Asael.ROUBY@fnr.lu">Asael.ROUBY@fnr.lu</a></td>
</tr>
<tr>
<td>Netherlands*</td>
<td>NWO - Netherlands Organisation for Scientific Research (The Hague)</td>
<td>Anne Cukier Anne Westendorp</td>
<td><a href="mailto:T2S@NWO.nl">T2S@NWO.nl</a></td>
</tr>
<tr>
<td>Norway*</td>
<td>RCN - The Research</td>
<td>Tore Søiland</td>
<td><a href="mailto:tso@rcn.no">tso@rcn.no</a></td>
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### Call for Proposals: Transformations to Sustainability (T2S)

<table>
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<tr>
<th>Country</th>
<th>Organization</th>
<th>Contact Person</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Slovenia</strong></td>
<td>ARRS - Slovenian Research Agency (Ljubljana)</td>
<td>Tina Vuga</td>
<td><a href="mailto:tina.vuga@arrs.si">tina.vuga@arrs.si</a></td>
</tr>
<tr>
<td><strong>Sweden</strong></td>
<td>VR – Vetenskapsrådet, Swedish Research Council (Stockholm)</td>
<td>Dan Wilhelmsson</td>
<td><a href="mailto:Dan.Wilhelmsson@vr.se">Dan.Wilhelmsson@vr.se</a></td>
</tr>
<tr>
<td><strong>Switzerland</strong></td>
<td>SNSF - Swiss National Science Foundation (Bern)</td>
<td>Marie Guyaz del Aguila</td>
<td><a href="mailto:marie.guyaz@sfn.ch">marie.guyaz@sfn.ch</a></td>
</tr>
<tr>
<td><strong>United Kingdom</strong></td>
<td>ESRC - Economic and Social Research Council (Swindon)</td>
<td>Ben Sharman</td>
<td><a href="mailto:t2s@esrc.ac.uk">t2s@esrc.ac.uk</a></td>
</tr>
<tr>
<td><strong>United States of America</strong></td>
<td>NSF - National Science Foundation (Arlington, Virginia)</td>
<td>Jeffrey Mantz</td>
<td><a href="mailto:t2s-us@nsf.gov">t2s-us@nsf.gov</a></td>
</tr>
<tr>
<td><strong>Global</strong></td>
<td>ISSC - International Social Science Council (Paris)</td>
<td>Sarah Moore</td>
<td><a href="mailto:transformations@worldsocialscience.org">transformations@worldsocialscience.org</a></td>
</tr>
</tbody>
</table>

*) Country eligible to access the European Commission funds.*
Appendix 1: Eligibility criteria per funding agency

National Annex: Belgium (Flanders)

Partner: This call is supported by FWO (Research Foundation Flanders)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency FWO for this call will be up to € 300.000, or 2 fundable research projects of max. € 150.000 each.

Details of the call and the application process are provided via the FWO website.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Belgium (Flanders) team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Belgium (Flanders), the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

Who can apply?

Art. 9 of the FWO-regulations on the regular research projects is applicable. In this article is stated who can apply as a supervisor or co-supervisor for a research project:

§1. The supervisor who is also the responsible spokesperson towards the FWO shall have a remunerated appointment of at least 10% at the relevant host institution, and additionally meet one of the following conditions:

1° an Independent Academic Staff member with an appointment of at least 10% at a Flemish university;

2° an Independent Academic Staff member of at least 10% with an appointment at the Evangelical Protestant Faculty in Leuven and the Faculty for Protestant Theology in Brussels, for research related to religious studies or theology;

3° a research director of FWO;

4° a designated beneficiary of an ERC Grant with a Flemish university or the Evangelical Protestant Faculty in Leuven, the Faculty for Protestant Theology in Brussels, the Hogere Zeevaartschool, the Vlerick Management School, the Antwerp Management School or the Institute of Tropical Medicine as host institution;

5° a designated beneficiary of an Odysseus II grant with a Flemish university as host institution;

6° an (associated) professor or (full) professor of at least 10% tied to the Hogere Zeevaartschool and holder of a PhD, if the application is related to research in nautical sciences;

7° an (associated) professor or (full) professor of at least 10% (or appointed in a position with an equivalent English title) at the Vlerick Management School and holder of a PhD, if the application is related to research in management sciences;
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8° an (associated) professor or (full) professor of at least 10% affiliated to the Antwerp Management School and holder of a PhD, if the application is related to research in management sciences,

9° an (associated) professor or (full) professor of at least 10% at the Institute of Tropical Medicine and holder of a PhD.

Or

10° an Independent Academic Staff member with a remunerated appointment of 5% at a Flemish university and with an appointment as (assistant) clinical head or an equal function in a university hospital;

§2. All co-supervisors have to be researchers of at least postdoctoral level. Co-supervisors have a remunerated appointment at a host institution that can act as a main host institution as referred to in art. 3 or at an academic programme of a Flemish School of Arts, or at another Flemish research institution or at a federal scientific institution, where the co-supervisor belongs to the Dutch-speaking community. (Foreign researchers can participate as co-supervisor in the Big Science programme project without FWO funding, provided the collaboration is relevant for the project).

§3. If the project involves several institutions that can act as main host institution as referred to in art. 3, at least the supervisor or one co-supervisor of each of these institutions shall meet the requirements set out in §1 of this article, and moreover hold an appointment that fully covers the duration of the research project applied for.

§ 4. If the requirements set out in §1 are not met at the time of the application, the applicant needs to prove that this will be the case at the start of the agreement.

What types of costs are eligible for funding?

Funding money can be used for staff, consumables and infrastructure. The minimal and maximal amounts of money allowed per cost category, as applicable for the regular FWO-projects, are not applicable for the projects funded by FWO in ERA-NET.

Overhead is not an eligible cost. Notwithstanding, FWO pays the host institutions of a project 6% overhead on top of the funding amount.

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Belgian/Flemish enquiries please see addresses below.

Contact:
dr. Olivier Boehme
Science Policy Advisor
Telephone: +32 2 550 15 45

Toon Monbaliu
Advisor Research Affairs
Telephone +:32 2 550 15 70

E-mail address: eranet@fwo.be

National Annex: Belgium (Wallonia Brussels Federation)

Partners: This call is supported by the Fund for Scientific Research - FNRS (F.R.S.-FNRS)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency F.R.S.-FNRS for this call will be up to € 200.000, or 1 fundable research project.
• THE F.R.S.-FNRS only funds Basic research (low Technology Readiness Level) carried out in a research institution from the "Fédération Wallonie-Bruxelles"
• The F.R.S.-FNRS will not fund industrial partners

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Belgium (Wallonia Brussels Federation) team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Belgium (Wallonia Brussels Federation), the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

Who can apply?
The applicant must be affiliated to a research institution from the Fédération Wallonie-Bruxelles. The applicant should also:
• be a permanent researcher of FNRS (Chercheur qualifié, Maître de recherches or Directeur de recherches),
• or hold a tenure track position (or an assimilated position including pending tenure track) within a research institution from the Fédération Wallonie-Bruxelles,
• or be a permanent research staff member of a federal scientific institution including the Ecole Royale Militaire in which case he can act as a co-promotor only.
• The applicant should not have reached retirement at the starting date of the project. If the applicant reaches the age of retirement in the course of the project, he should precisely describe in the proposal how the handover will be managed.

What types of costs are eligible for funding?
The maximum amount allocated per project is 200,000 EUR. The following costs are eligible:

Personnel:
• Scientifique doctorant € 37,200/year
• Scientifique non postdoctoral € 63,300/year
• Scientifique postdoctoral € 73,800/year
• Technicien € 53,700 (full time/year) - € 27,200 (half time/year)
• Chercheur temporaire postdoctoral € 47,600/year

The categories « scientifique doctorant » and « chercheur temporaire postdoctoral » can only be Full time positions. The three other positions can be filled in either Full time or part-time.

The usual duration of ERA-NET research programmes is three years. However, when the project involves a PhD student, the principal investigator can apply for an additional one year funding in order to complete the four years PhD programme. This request should be submitted to FNRS six months before the end of the project, together with the written agreement from the “Comité d'accompagnement”.

Equipment (max 30,000 EUR/year)

Running costs: travel expenses; organisation of small scientific events in Belgium; consumables and the following support costs:
• Conception d’ouvrage
• Réalisation de dictionnaire
• Achat de livre
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- Encodage
- Location de licence de logiciel
- Inscription à un congrès
- Ordinateur
- Scannage

“Overhead” is not an eligible cost. If the project is selected for funding, these costs will be subject to a separate agreement between the institution of the beneficiary and the FNRS. General rules and regulations of FNRS apply: www.frs-fnrs.be

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Belgian/Wallonia-Brussels Federation enquiries please see addresses below.

Joël Groeneveld
Policy Officer
Tel. +32 2 504 92 70
E-mail: joel.groeneveld@frs-fnrs.be

National Annex: Brazil

Partner: This call is supported by FAPESP (São Paulo Research Foundation)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency FAPESP for this call will be up to €600,000 Euros.

Please consult FAPESP’s guidelines for participation of applicants from the state of São Paulo to make sure to comply with all FAPESP requirements: www.fapesp.br/en/10681

A consortium agreement will need to be developed between the participating partners’ host institutions should their application be successful, and shared with the relevant Partner Organisations.

Eligibility and national funding modalities:

Applications submitted under this call must follow the overall rules and conditions of a Regular Research Award (“APR”) with the consortium composition as defined in the T2S call text.

Above the usual eligibility conditions for the “APR” award, proponents from the State of São Paulo must be well established researchers with Social Sciences or Humanities background and have a proven track record of working in interdisciplinary projects such as the FAPESP’s Thematic Projects grants in the topic areas of the proposal.

It is highly recommended that interested researchers from the state of São Paulo read the specific guidelines (www.fapesp.br/en/10681) and consult FAPESP regarding their eligibility prior to the Outline Proposal preparation.

Specific submission procedures and budgetary exceptionalities must be carefully observed at the guidelines published at www.fapesp.br/en/10681. These include maximum project duration of 3 years, limited equipment provisions, extended travel allowances; also, up to 2 Post-Doctoral fellowships can be requested but no Technical Training fellowships are allowed.

Outline proposal stage:
No documents should be submitted to FAPESP at the Outline Proposal stage.

6 July 2017, version 1.4
Full proposal stage:
If applicable, after the submission phase of the Full Proposal the applicant from the state of São Paulo of a project consortium will be individually contacted by FAPESP for further instructions on how to submit the due complete documentation.

Applicants are advised to carefully read the specific guidelines (www.fapesp.br/en/10681) and contact the National Contact Point before the outline proposals preparations. For enquiries from the state of São Paulo, Brazil, please see address below.

Contacts:
Alexandre Roccatto
Science Manager – Scientific Doctorate
FAPESP – São Paulo Research Foundation
E-mail address: chamada_bf_t2s@fapesp.br

FAPESP
R. Pio XI, 1500 - Alto da Lapa - ZIP 05468-901 São Paulo/SP - Brazil

National Annex: Chinese Taipei

Partner: This call is supported by MOST (Ministry of Science and Technology)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency Ministry of Science and Technology for this call will be up to 1 Million Euros. Up to €225,000 can be requested per project.

Details of the call and the application process are provided via https://www.most.gov.tw/ch/academic.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In addition for Chinese Taipei, the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

- Only research organisations (universities, university colleges, research institutes or other authorities with research undertakings) eligible to receive funding from the Ministry of Science and Technology can apply for this call. Companies (from industry/large companies to SMEs) wish to apply, must secured their own funding, either self-funded or from other national funding agencies. Proof of secured funding must be demonstrated to the Ministry of Science and Technology upon request.

- Each project shall not request for more than 3 Million New Taiwan Dollars (NTD) per year from the Ministry of Science and Technology. More than one Taiwanese team (from different institutions) participated in the same project can request NTD 3M/Year from the Ministry of Science and Technology separately, only if they could clearly distinguish the tasks involved in the same project.

- For each successfully T2S research project, the Ministry of Science and Technology will perform a separate budget evaluation (rather than technical evaluation) to determine final amount approved.

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Taiwan enquiries please see address below.
**Contact:**
Dr. Louis Chen  
Program Director  
Department of International Cooperation and Science Education  
Ministry of Science and Technology

E-mail address  **ymchen@most.gov.tw**  
Telephone: +886 2-2739-7959

**National Annex: Finland**

**Partner: AKA**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency AKA for this call will be up to €380,000 Euros. Up to €190,000 can be requested per project.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Finnish team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Finland, the following eligibility and national funding modalities apply:

- **Who can apply?**  
The applicant who intends to act as main or Co-applicant in a T2S project must have the qualifications of a professor or docent. The funding is granted primarily to teams of researchers with doctoral degrees. In principle, the projects we fund must benefit Finnish research and society or international collaboration. Funding is provided to SSH led projects.

As a rule, Academy funding is not granted to support economic activity. Economic activity is defined as all activity where goods or services are offered on an open market. Funding may be granted for economic activity only if it can be granted in keeping with the EU’s state aid rules in the form of de minimis aid.


- **What are eligible costs for Finnish researchers?**  
You can apply for Academy funding to cover, for example, the following direct research costs:
  - the research team’s work (salaries)
  - research costs
  - travel
  - national and international collaboration and mobility
  - preparation of international projects
  - publishing costs (e.g. open access publishing using the golden route).

No conferences can be organised with this funding nor can administrative personnel be included.
In the application, give a cost estimate including an estimate of the annual amount of funding needed, itemised by type of expenditure. Also include a funding plan, that is, all funding granted to the project and funding that will be provided by the site of research if the project is launched. The cost estimate must be realistic and justified by type of expenditure in the research plan.

Overhead is calculated up to 20% of all eligible costs being a consistent part of the total grant sum. Overhead costs are used for covering the running costs of the institution which are related to implementation of the T2S project. Overheads should be calculated as 1.2x of the total without them. We require that the site of research (e.g. university department) provides the research project with all necessary basic facilities. These are determined based on the nature of the research and are the same as those available to other research staff at the site: office and laboratory premises, equipment (incl. computer equipment), and telecommunications, telephone, mailing, copying and library services, etc.

**Support to international researcher mobility**

We support international mobility:
- in research projects
  - as a mobility allowance for mobility from Finland as part of a project’s research costs:
    - researchers with dependants €1,500/month
    - researchers without dependants €1,050/month
  - as grants to non-EU researchers coming to Finland to work for periods shorter than a year.
    - with our funding opportunity International researcher mobility based on bilateral agreements as research grants or personal grants.

Read more in [Appendix 5 of the September 2015 call](#) for applications and on our website under Calculation of support to international researcher mobility.

In addition to this special funding, international researchers can be hired to work on Academy-funded research projects.

**Salary costs of principal investigator**

Academy funding for research projects (Academy Projects, targeted projects and Academy Programme projects) is primarily intended for the salaries of full-time researchers working on the projects and for other research costs. The salary costs of the PI can, with certain limitations, be incorporated into the total project costs (see below).

1. **Including PI’s salary (for project management) in total project costs**

The salary costs of the PI can be incorporated into the total project costs in accordance with what is stated in the research plan. The PI’s tasks must be clearly described in the research plan (section 6, Implementation). In the application, ACADEMY OF FINLAND SEPTEMBER 2015 CALL 5 1 July 2015 GENERAL GUIDELINES indicate the salary under Project funding, Salaries.

The salary costs must not be significant in relation to the project’s total costs. For example, a four-year research project must not include more than six months of the PI’s effective working hours. This is equivalent to approximately 1.5 months a year.

2. **Applying for funding for PI’s salary (for research)**

The Academy can grant a maximum of twelve months of funding towards the salary of a PI for conducting research.

The funding is intended to support project implementation and is granted only for well-substantiated research-related reasons (e.g. work abroad, return to Finland or transfer to another research organisation or a company in Finland).
The well-substantiated reasons and the PI’s tasks must be clearly presented in the research plan (section 6, Implementation). In the application, indicate the salary under Project funding, Salaries.

**3 Granting salary funding to PI with no employment relationship**

If the PI does not have an employment relationship with, for example, a university or research institute, they must give an account of how their salary will be covered during the funding period. These details must be accounted for at the application stage.

Retired researchers can be granted funding on the same grounds as other researchers.

Please see for more details the [Academy September Call text](#).

**Please include the financial budget table of AKA when submitting the Full Proposal form.**

**Contact:**
Science Advisor, Dr Sc (IB) Minna Söderqvist  
Academy of Finland  
Culture and Society Research Unit  
POB 131 (Hakaniemenranta 6)  
FI-00531 HELSINKI, FINLAND  
tel. +358 50 4642 809  
email: minna.soderqvist@aka.fi

**National Annex: France**

**Partner: This call is supported by ANR (Agence Nationale de la Recherche)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency ANR for this call will be up to €800,000 Euros. Up to €300,000 can be requested per project.

Please consult the programme’s webpage on the ANR website at http://anr.fr/t2s, in particular the conditions for the participation of French applicants (Annexe « Modalités de participation pour les participants français »), to make sure to comply with all ANR requirements.

It is strongly advised to develop a consortium agreement between the participating partners should their application be successful, and share it with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the French team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for France, the following eligibility and national funding modalities apply:

- **Who can apply?**

Researchers based at organizations eligible to ANR funding under the provisions of the ANR’s Règlement financier (See http://www.agence-nationale-recherche.fr/RF )

- **What are eligible costs for French researchers?**

Standard national rules apply, including for overheads. Staff costs, travel costs, outsourcing, investment costs and other costs are eligible under the provisions of the ANR’s Règlement financier (http://www.agence-nationale-recherche.fr/RF )

Please ensure you complete and attach the ANR financial budget table when submitting the Full Proposal form.
Call for Proposals: Transformations to Sustainability (T2S)

Contact:
Xavier Engels
Telephone: +33 1 73 54 82 46
E-mail adress: Xavier.engels@agencerecherche.fr

Pierre-Olivier Pin
Telephone: +33 1 78 09 80 83
E-mail address: pierre-olivier.pin@agencerecherche.fr

Agence Nationale de la Recherche (ANR)
50, avenue Daumesnil 75012 Paris

National Annex: Germany

Partner: This call is supported by BMBF (Federal Ministry of Education and Research)

Note: The BMBF will provide up to 1 million euros total funding to proposals submitted under this call subject to eligibility and peer review. Details of the call and the application process are provided via the T2S website. Outline-proposals should be submitted electronically via the bfgo.org online portal.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as set out in the T2S call text. In order to be eligible for funding, in addition to the German team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). The following eligibility and funding modalities additionally apply for Germany:

Eligibility and national funding modalities for Germany:

Applications submitted under this call must be collaborative research projects (Verbundprojekte) with the consortium composition as defined in the T2S call text.

Research proposals may be submitted by German-based institutions of higher education, non-university research establishments, civil society organisations or commercial companies.

Research establishments which receive institutional funding (Grundfinanzierung) from the Federal Government and/or the Laender can only be granted supplementary project funding under certain conditions.

It is required, that German applicants are familiar with the BMBF framework programme "Research for Sustainable Development“ (FONA) and with the BMBF funding priority "Social-Ecological Research" (Sozial-oekologische Forschung), website: www.soef.org.

The cost estimates entered in the outline-proposal form must be as realistic as possible. Applicants are strongly advised to consult the BMBF guidelines on eligible costs. This is because the budget details required at full-proposal stage may only include eligible costs as defined in the funding rules specific to Germany: Richtlinien für Zuwendungsanträge auf Ausgabenbasis – AZA or Richtlinien für Zuwendungsanträge auf Kostenbasis – AZK.

https://foerderportal.bund.de/easy/easy_index.php?auswahl=easy_formulare&formularschrank=bmbf

Outline-proposal stage:
At the outline-proposal stage, all applicants have to submit their proposal via the website https://www.bfgo.org.
**Full-proposal stage:**
At the full-proposal stage, all applicants have to submit their proposal via the website [https://www.bfgo.org](https://www.bfgo.org).

Once the funding decision is taken, only those projects selected for funding will then need to submit a German-specific application through "easy-Online" [https://foerderportal.bund.de/easyonline/] for further grant processing and grant management. The BMBF’s funding decision will be taken on the basis of these full-proposals.

**National Contact Person for Germany:**
The DLR Project Management Agency is responsible for the technical and administrative implementation of this call on behalf of the BMBF. Applicants are advised to contact their national contact person before starting to prepare their applications – see below.

**Contact:**
Michaela Thorn  
DLR Project Management Agency  
Environment and Sustainability, Social-Ecological Research  
Heinrich-Konen Str. 1  
53227 Bonn, Germany  
Telephone: +49 (0)228-3821-1538  
E-Mail address: michaela.thorn@dlr.de

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**National Annex: Ireland**

**Partner: This call is supported by IRC (Irish Research Council)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency Irish Research Council for this call will be up to €380,000. The Irish Research Council will fund the cost of Ireland based Principal Investigators as part of successful applications.

Details of the call and the application process are provided via the T2S [call website](https://www.bfgo.org).

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Irish team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Ireland, the following eligibility and national funding modalities apply:

**Eligibility and national funding modalities:**

- **Who can apply?**
  Main applicants and Co-applicants shall be full-time members of the academic staff, either permanent or on temporary contracts of sufficient duration to cover the period of the proposed project, of a third-level institution within the meaning of Section One of the Higher Education Authority Act, 1971 and/or in receipt of public funding as approved by the Minister for Education and Skills for the purposes of the Free Fees Initiative. Applications will also be accepted from permanent members of the academic research staff at the Economic and Social Research Institute (ESRI) and the Dublin Institute for Advanced Studies (DIAS).

Researchers not formally affiliated with an institution recognised by the Research Council may be part of a consortium but cannot function as formal Main applicant or Co-applicant.
What are eligible costs for Irish researchers?
Eligible personnel costs for the purpose of the NORFACE collaboration must be sought at agreed national rates. These are available at: [http://www.iua.ie/research-innovation/researcher-salary-scales/](http://www.iua.ie/research-innovation/researcher-salary-scales/).

Total personnel costs must not exceed the following limits over the maximum project duration of 3 years:
- one or more Postgraduate / PhD Candidate(s): maximum eligible costs per person €93,000
- one or more Postdoctoral Fellow(s): maximum eligible costs per person: €160,000
- one or more senior researcher(s): maximum eligible costs per senior researcher (replacement costs) €126,000

Personnel costs can only be claimed in the above three staffing categories. **Applicants must submit their budget to the Irish Research Council for approval before submission.**

Eligible material costs can include:
- Travel & accommodation & meeting costs
- Costs for knowledge transfer
- All joint publication costs (incl. editing and translation costs)
- Other material costs, equipment where justifiable
- Consumables

Ineligible costs include:
- Sub-contracting costs
- Subsistence or per diem costs

The cost of institutional overheads may be included at a rate of up to 20% of overall direct costs less equipment.

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Irish Research Council enquiries please see address below.

**Contact:**
Fiona Davis, e-mail address [fdavis@research.ie](mailto:fdavis@research.ie)
Aileen Marron, e-mail address [amarron@research.ie](mailto:amarron@research.ie)

Irish Research Council,
3 Shelbourne Building,
Crampton Avenue,
Ballsbridge,
D04 C2Y6,
Ireland.

Telephone: +353 (0) 1 231 5000

**National Annex: Japan**

**Partner:** This call is supported by JST (Japan Science and Technology Agency)

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked [collectively] by the participating JST for this call will be up to 0.5 million euros (according to exchange rates at time of funding).
The official national call announcement for the Japan has been published on the JST website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Japanese enquiries please see below.

In addition to the joint application process, Japanese applicants will have to register their applications on the Cross-Ministerial R&D Management System (e-Rad: https://www.e-rad.go.jp/index.html). The Japanese applicants must also complete a research ethics training program conducted by the research institute with which the PI is affiliated, and then declare the completion of the program to JST within 30 days after the deadline of the call for proposals. If it would be very difficult for the Japanese PI to undertake a program provided by his or her own affiliated institute, they should please contact JST. Please note that unless applicants complete a research ethics program, his / her application will be deemed ineligible. For more details, please refer to the call announce page below.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

**Eligibility and national funding modalities:**

Any independent researcher personally affiliated with (and actively conducting research at) a domestic Japanese research institution, regardless of nationality, is eligible to apply.

‘Domestic Japanese research institution’ refers to universities, independent administrative institutions, national/public testing and Research Institutions, specially authorized corporations, public-service corporations and enterprises, etc. that satisfy requirements predetermined by the Japanese Ministry of Education, Culture, Sports, Science and Technology (MEXT). Please refer to the MEXT homepage for more information: http://www.mext.go.jp/a_menu/kansa/houkoku/1301688.htm

The scale of funding provided to successful proposals will depend on the budget of each fiscal year.

Funding will be provided in support of research, travel and personnel expenses, as well as indirect costs (up to a maximum of 10% of direct costs).

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and JST will fund the Japanese partner of any successful trans-national collaboration.

**National Contact Point: Shinji Kanayama**
K’s Gobancho, 7 Goban-cho, Chiyoda-ku, 102-0076, Tokyo, JAPAN
+81-3-5214-7375
belmont@jst.go.jp

**National Annex: Latvia**

Partner: This call is supported by VIAA (Valsts izglītības attīstības aģentūra – State Education development agency)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency VIAA for this call will be up to €180,000. Up to €180,000 can be requested per project.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.
All applicants have to comply with the rules as described in the T2S Call Text. In order to meet the three-country participation requirement and to be eligible for funding, in addition to the Latvian team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Latvia, the following eligibility and national funding modalities apply:

Who can apply?
Researchers actively working at Latvian scientific institutions or companies may participate in a consortium, based on their affiliation with an eligible beneficiary organisation (Latvian beneficiaries are organisations). The beneficiary organisation must be an eligible scientific institution or company: a scientific institution must be entered into the Registry of Scientific institutions of Latvia and correspond to status of research organisation. Enterprises are eligible only if entered into the Latvian Commercial registry, assumed they are eligible to do the specific research and have proven resources for specific activities and their main activity incl. research activity is in Latvia. Limitations of EU legislation apply (R651/2014) together with financial reporting requirements and support rate limits. Project leader and the principal investigator should be researchers according to Latvian legislation ("zinātnieks") possessing doctoral degree and experience in relevant research field, and the work carried out should be research.

What are eligible costs for Latvian researchers?

- Personnel incl. social tax
- Consumables
- Subcontracts and external services - up to 25%, need detailed justification. Includes all publishing and patenting costs, knowledge engineering and dissemination services
- Equipment (only depreciation costs)
- Travel (according to travel plan)
- Indirect costs (up to 25% of direct costs exempt subcontracting)

Core activities cannot be subcontracted.

Maximum grant per Latvian team is 180 TEUR (i.e. 60 TEUR/year). The applicants should be able to prove the direct connection between expenses and the project’s results; funding of cost positions not related to the project is not allowed; time sheets and invoices should correspond to the actual national legislation. Associated partners as well as associated activities are not funded. Educational activities are not supported. Funding release is subject to annual and final scientific and financial reports and financial audit.


CONTACT:

Uldis Berkis
State Education Development Agency
Valnu Str. 1, LV-1050 Riga, Latvia
E-mail address: uldis.berkis@viaa.gov.lv

Linda Klūga-Rajčeviča
State Education Development Agency
Valnu Str. 1, LV-1050 Riga, Latvia
E-mail address: linda.kluga-rajcevica@viaa.gov.lv
National Annex: Luxembourg

Partner: This call is supported by FNR (Luxembourg National Research Fund)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency Luxembourg National Research Fund (FNR) for this call will be up to 500 000,- Euro and up to €250,000 per project.

Details of the call and the application process are provided via T2S call website and the FNR webpage (http://fnr.lu/internation-cooperation-opportunities/)

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Luxembourg team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Luxembourg, the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

1. Who can apply?

Funds provided by FNR in the framework of Transformations to Sustainability (T2S) call project funding are aimed at beneficiary organisations as specified in article 3.2 of the law creating the FNR.

The PI must be employed at an eligible beneficiary organisation at the time of the start of the project and for the full duration of the research project. The PI must be an experienced researcher who holds a doctoral degree.

For PIs not holding a doctoral degree equivalent research experience might be accepted. The coordinating institution is expected to provide documentation demonstrating the PIs research and project management experience. A copy of each submitted application (outline and full proposal) via the NORFACE website must be uploaded for information to the FNR online grant management system within 5 working days after the deadline.

2. What are eligible costs for Luxembourghish researchers?

a. DIRECT COST

- Personnel costs (maximum project duration 3 years)

The payroll costs of all staff (including PhDs), full or part-time, who work on the project, and whose time can be supported by a full audit trail may be included. The need for such staff should be justified in the application form.

Additional costs (overhead, consumables, etc.) for PhD students are eligible project costs.

The FNR will not cover costs of persons already funded by the State or by other funding sources.

The FNR will only finance the profiles of the personnel indicated in the budget sheets of the application and changes of profile (e.g. Post-doc to technician) have to be requested in writing in advance and receive formal approval by the FNR.

Lump sums for staff categories may be used provided these are based on a real-figure calculation (which must be added and explained in the application form).
The FNR expects project staff to use timesheets so that their actual time is recorded against a project to form the basis of the costs charged. Where a person is contracted to work 100% of their time on a single project (whether they are working full-time or part-time), timesheets are not necessary as their costs can only be charged to that activity. In all other cases, timesheets or project time records are required. This includes those who may be contracted to work on two or more projects, since it is essential when charging to have a means of recording and verifying the actual time applied to each activity.

- **Equipment**

The FNR will only finance equipment listed in the original proposal. Additional equipment has to be requested in writing in advance and receive formal approval by the FNR.

- **Consumables**

The applicant needs to indicate the nature of the consumables and justify the estimation of the total costs. Lump sums for various consumable categories may be used provided these are based on reasonable assumptions.

- **Travel Costs**

The FNR will cover the real expenses for travel, subsistence and conference fees. Expenditures should be in accordance with an institution’s own regulations.

To avoid administrative burden at submission and in order to give the applicant team the necessary flexibility, the budget for travel expenses, subsistence and conference fees is calculated on a lump sum basis: 2000 € per year and per 12 person*months (in relation to the total project effort).

If the project team requires a higher budget for travel, please justify in detail the amount.

- **Subcontracting**

This line provides for expenditure on services provided by subcontractors.

Contracting partners may subcontract specific services (limited in time) which are essential for the project but do not represent core elements of the project work which cannot be directly assumed by one of the contracting-partners and where this proves necessary for the performance of their work under the project.

In case the subcontracted service fulfills these criteria, please describe the service, indicate the price (market price) and specify the reason why you resort to a third service in the application form:

Why an expert or technical assistance is needed.

How many hours” work the subcontractors are expected to provide. All covered costs, must be substantiated.

b. **INDIRECT COSTS**

- **Overhead**

Definition by FNR: This heading may provide for ongoing expenses which cannot be attributed to any specific activity, but are still necessary for the action to be realised.

Overhead expenses may include, but are limited up to 25%, accounting, advertising, depreciation, indirect labour, insurance, interest, legal fees, rent, repairs, supplies, taxes, telephone, travel and utilities.

Overhead costs may not include depreciation costs of large equipment having been completely funded by FNR in other previous programmes.

Overhead costs have to be reasonable and based on an auditable calculation in order to guarantee proper audit trail. Overheads must be identifiable and justified by the accounting system of the participant as being incurred in direct relationship with the eligible direct costs attributed to the project. Indicate the
method of calculation in relationship with the eligible direct costs (i.e. as a rate calculated with respect to total personnel costs).

- **Other costs**
  VAT is not an eligible cost in case the institute can reclaim VAT from the Luxembourg tax authorities in conformity with Luxembourg indirect tax regulation.

Costs for knowledge transfer are eligible.

3. **CONTACT:**

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Luxembourg enquiries please see address below.

**Contact:**
Asaël Rouby
Programme Manager
E-mail address: Asaël.ROUBY@fnr.lu

Maison du Savoir
2, avenue de l’Université
L-4365 Esch-sur-Alzette
Postal Address:
B.P. 1777

**National Annex: The Netherlands**

**Partner: This call is supported by NWO (Netherlands Organisation of Scientific Research)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency NWO for this call will be up to €750,000 - Euro. Up to €250,000 can be requested per project.

Details of the call and the application process are provided via T2S call website and the NWO website.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Dutch team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for The Netherlands, the following eligibility and national funding modalities apply:

- **Who can apply?**
To be eligible for funding, applicants from a Dutch institution must hold a doctorate. Each applicant should be employed, at least for the duration of the project, at a Dutch university or research institute recognised by NWO or the KNAW. Note that the employment can be a tenured appointment or a temporary appointment for the course of the application process and the research project, but does need to be a research position. Articles 1.1 and 1.2 of the General Terms and Conditions of NWO Grants 2014 state from which Dutch organisations a funding proposal to NWO can be submitted. Note that this excludes hogescholen, Universities of Applied Sciences.

The proposed research must fall within the remit of NWO’s Division of Social Sciences (MaGW), defined in the NWO social sciences list of discipline codes. Applications are eligible from all social sciences contained
in the discipline list of NWO-MaGW. Please see: http://www.nwo.nl/en/documents/magw/magw---disciplinecode-list

• What are eligible costs for Dutch researchers?

The maximum contribution per project for the Dutch part is €250,000.

- Eligible personnel costs: (maximum project duration 3 years)
  - one or more PhD(s): maximum eligible costs per full time PhD in accordance with VSNU-contract (1-7-2016 € 150.455,-)
  - one or more Postdoc(s): maximum eligible costs per full time Postdoc in accordance with VSNU-contract (1-7-2016 € 207.117,-)

For more information, please visit: http://www.nwo.nl/financiering/hoe-werkt-dat/salaristabellen

- Eligible material costs are:
  - Travel & accommodation & meeting costs
  - Costs for knowledge transfer
  - All joint publication costs (incl. editing and translation costs)
  - Other material costs (contact NWO to check eligibility of proposed material costs)
  - The costs of student-assistants

To PhD and post-doctorate researchers a personalised bench fee is assigned for an appointment for the duration of the project. This is a fixed sum of € 5,000.- in order to cover costs related to the researcher, for example conference visits and publication costs of the dissertation. No additional funding can be requested for activities covered by the bench fee. For members of the research team who are not funded through this application, these costs can be included in the material costs.

In accordance with the NWO-VSNU agreement, the non-staff costs exclude infrastructure costs (accommodation, office automation, books, i.e. costs of facilities which can be regarded as part of the normal infrastructure for the discipline concerned) and overheads. As a consequence, the subsidy will not cover the costs of data processing time at computer centres or the acquisition of personal computers or laptops; costs for accommodation / housing, overhead, maintenance or depreciation.

Contact:
Anne Cukier
E-mail address: T2S@nwo.nl
Tel: +31 (0)70 344 05 03

Netherlands Organisation for Scientific Research
Division Social Sciences
P.O. Box 93461
2509 AL The Hague

National Annex: Norway

Partner: This call is supported by RCN (The Research Council of Norway)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency RCN for this call will be up to €440,000- Euro
A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Norwegian team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Norway, the following eligibility and national funding modalities apply:

- **Who can apply?**
  Under this call, the RCN will accept applications for Researcher Projects. Applications will be accepted from Norwegian research institutions (see definition and specification of the concept "research institution").

The RCN welcomes research proposals within, as well as across all the five research themes of this call. Special emphasize will be placed on the proposals fit within the KLIMAFORSK and SAMKUL programs. A minimum of 50 per cent of the Norwegian budget in each proposal should be allocated to research within the social sciences and humanities.

- **What are eligible costs for Norwegian researchers?**
  Ordinary guidelines for RCN Researcher Projects apply, and may include: "Relevant project expenses such as payroll expenses, one or more grants/fellowships, procurement of R&D services, network measures, depreciation of equipment used under the project." Please see RCN's webpage.

- **Financials**
  Depending on all conditions of eligibility and peer review being met, the budget by the RCN for this call will be up to 440,000 EURO.\(^{19}\)

  The RCN will aim to support at least two projects with Norwegian participants. Successful applications under this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and the RCN will fund the Norwegian partner(s) of any successful trans-national collaboration in accordance with the guidelines provided in this National Annex.

- **National Contact Point:**
  The official national call announcement for Norway has been published on the RCN website. Details for the call and the application process are provided via the T2S website. Applicants are advised to contact the Norwegian National Contact Point before preparing proposals for application. For RCN enquiries please see contact details below.

**Contact:**
Name: Tore Søiland
Address: P.O Box 564 N-1327 Lysaker, Norway
Telephone: +47 984 21 374
Email address: tso@rcn.no

\(^{19}\) According to exchange rates at the time of T2S funding decision by the KLIMAFORSK and SAMKUL Programme Boards: October 17th 2016 with a 9.0315 NOK/EUR exchange rate following the European Central Bank.
Call for Proposals: Transformations to Sustainability (T2S)

National Annex: Slovenia

Partner: This call is supported by ARRS (Slovenian Research Agency)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency ARRS for this call will be up to €150,000- Euro

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Slovenian team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Slovenia, the following eligibility and national funding modalities apply:

- **Who can apply?**
Researchers holding a PhD degree who:
- meet the requirements for a project manager of a basic or applicative project,
- are registered at Slovenian Research Agency (ARRS) and
- are employed at Slovenian higher education or research institutions or will be employed at the research institution by signing of a T2S grant contract at the latest.

Higher education or research institutions of the applicant need to be registered at ARRS research organisations records and shall not be business sector entities. Business sector entities may apply, however they need to ensure their own funding for participation. Eligible entities may participate in a T2S consortium as Main Applicant or Co-Applicants.

- **What are eligible costs for Slovenian researchers?**
Eligible costs for Slovenian researchers are:
  - Personnel costs, PhD student (those funded as young researchers by ARRS are excluded), post-doc
  - Social security, health, pension and other contributions according to national legislation,
  - Material costs (Travel and meeting costs, Consumables, Dissemination and knowledge exchange costs, Other costs)
  - Depreciation costs

Slovenian teams will be financed as the price category A, B, C or D projects. Total sum of research hours is calculated by dividing total grant sum by the value of research full time equivalent for chosen price category of the project (A, B, C or D) in accordance with national financial act Ur. l. RS, No. 103/15).

Overhead is calculated up to 20% of all eligible costs calculated at the level of chosen project category (without subcontracting costs) being a consistent part of the total grant sum. The calculation of overhead thus lowers individual category of eligible costs in a proportional manner. Overhead costs are used for covering the running costs of the institution which are related to implementation of the T2S project.

At the Outline and Full Proposal stage all Slovenian applicants are invited to contact ARRS as the proposed budget is recommended to be examined by ARRS prior to official submission. Additionally, names and research organisations of all Slovenian participants should be forwarded to ARRS with planned budgets for each year of the project (max 3 years).

Contact:
Tina Vuga
Slovenian Research Agency (ARRS)
National Annex: Sweden

Partner: This call is supported by VR (Vetenskapsrådet / Swedish Research Council)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency VR for this call will be up to 500,000,- Euro. Up to €250,000 can be requested per project.

Details of the call and the application process are provided via T2S call website and the VR website.

In addition to the application procedure described in the call, the Swedish Main and Co-applicants need to apply through SRC’s electronic application portal. Please contact Dan Wilhelmsson (dan.wilhelmsson@vr.se) for guidance on this.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the Swedish team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for Sweden the following eligibility and national funding modalities apply:

Who can apply?
Researchers holding a Ph.D. affiliated to Swedish universities, university colleges or other Swedish research institutions (e.g. museums) recognised by VR, may participate in a T2S project as Main Applicant or Co-applicant. For Main and Co-applicants, the activity in the project must be at least 20 per cent of a full time. Given that the host institution agrees to host and employ the applying researcher if funds are granted, employment is not required at the time of submission of the application.

Doctoral students may participate in a T2S project only if their doctoral research is well and clearly integrated in the consortium research programme. Doctoral course training and other curricular activities are not funded.

At the Full Proposal stage a signature on the application as an annex to the main application is required from the applicant but also from the authorized representative of the administrating organisation/institution or equivalent (normally the head of the department or establishment where the research is to be conducted).

What are eligible costs for Swedish researchers?
The total project costs should be specified, including both direct and indirect costs.

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20 A list of eligible organisations is found at: http://www.vr.se/inenglish/researchfunding/applyforgrants/generalconditionsforgrantapplications/approvedadministratingorganisations.4.eb1cd22413cb479b80537a9.html
21 A form for the signature annex can be obtained by sending an email to dan.wilhelmsson@vr.se.
Call for Proposals: Transformations to Sustainability (T2S)

- Eligible personnel costs:
  - One or more senior researcher(s), PhDs
  - One or more Post-doc(s)
  - One or more Ph.D. student(s) (for restrictions on Ph.D. student participation - see above)

- Eligible material costs are e.g:
  - Travel & accommodation & meeting costs
  - Costs for knowledge transfer
  - All joint publication costs (incl. editing and translation costs)

- Indirect costs:
  - The research institution hosting the researcher should calculate the indirect costs based on their own models.

Contact:
Dan Wilhelmsson, PhD
Coordinator for Development Research
Management Support and Coordination Office
Vetenskapsrådet / Swedish Research Council Box 1035
SE-101 38 Stockholm
Tfn/Phone +46 (0)8 546 44032
Mobil(e) +46 72 9797771
E-mail address: dan.wilhelmsson@vr.se
www.vr.se

National Annex: Switzerland

Partner: This call is supported by SNSF (Schweizerischer Nationalfonds)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency SNSF for this call will be up to €825,000- Euro. Up to €450,000 can be requested per project.

Switzerland is not eligible for EU Co-fund for this call. Therefore, the SNSF strongly encourages that a consortium with a Swiss partner contains at least two national research teams eligible for funding - from two different EU member states (or associated countries).

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In addition for Switzerland, the following eligibility and national funding modalities apply:

- Who can apply?
The SNSF awards grants to qualified researchers that enable them to conduct a research project independently and on their own responsibility. Research conducted for directly commercial purposes will not be funded.

The proposed research must fall within the disciplines covered by the Division of Social Sciences and Humanities (link to list), particularly the area of Social Sciences. Applications are eligible from all disciplines in humanities and social sciences. If you are unsure, whether your discipline falls within an eligible discipline, please contact the administrative office of the SNSF.
Natural persons carrying out scientific research in Switzerland or with close links to Switzerland are eligible to submit an application. Scientific research is deemed to be conducted in Switzerland or to be closely linked to Switzerland if the applicant is employed as a researcher for the duration of the planned research at a higher education institution or at a research institution outside the higher education sector that is domiciled in Switzerland and whose basic financing is predominantly Swiss in accordance with Swiss law, or if the applicant has been given an assurance of such employment in writing.

Applicants with a doctorate must have obtained the latter four years before the submission date of the application. Applicants without a doctorate must generally have completed three years of research work as their main source of income since obtaining their higher education degree. Such research work will be regarded as equivalent to a doctorate.

Researchers who assume an independent research post less than four years after obtaining the doctorate may submit project funding applications as soon as they assume the said position.

Applicants must be in a position to carry out research projects under their own responsibility and to lead project staff both scientifically and as personnel.

Applicants must make a substantial contribution to the proposed research and their work must not be directed by instructions from third parties.

Applicants must be able to show that:
- They are conducting their research activities along with any scientific teaching activities to an extent equivalent to at least 50% FTE. Researchers devoting less than 50% of their time to scientific activities are eligible if their scientific research and teaching activities are usually carried out in the context of another professional activity.
- They are employed at least for the duration of the project at a research institution eligible for research funding from the SNSF or have been given assurance of such employment in writing.
- The necessary research infrastructure is at their disposal.
- If the proposed project is not to be conducted in the context of a position, applicants must show that they are independently conducting scientific research in Switzerland as their main source of income. The SNSF may demand further information on the research conditions.

- **What are eligible costs for Swiss researchers?**
  - Personnel costs (salaries of scientific and technical staff in research projects, but not for Project Leader (PL) or Principle Investigator (PI));
  - material costs that are directly related to the research work, namely material of enduring value, expendable items, field expenses, travel costs or third-party charges;
  - direct costs incurred through the use of research infrastructure linked to the research work;
  - costs and fees of scientific open access e-publications produced within the scope of the funded research;
  - costs for the organisation of conferences and workshops in connection with the funded re-search;
  - costs for national and international cooperation and networking activities carried out in connection with the funded research.
  - Overhead: The overhead contributions are calculated on the basis of the research funding acquired by eligible institutions under eligible funding schemes. The contributions are paid each year as a flat rate to the institutions as a whole (overhead regulation) and are therefore not part of the eligible costs.
  - Maximum contribution per project for the Swiss part: 450,000 €

- **Is an additional/parallel application requested to the funding organisation?**
Yes. A submission via mySNF will be necessary. Before creating a new proposal in mySNF please contact Marie Guyaz (marie.guyaz@snf.ch).

**Important:** Please note that you submit your application under the new [SNSF funding regulations](https://www.snf.ch) and the [new general implementation regulations for the funding regulations](https://www.snf.ch) which is in effect since 1 January 2016 and under the new [project funding regulations](https://www.snf.ch).

The SNSF Funding Regulations and the project funding regulation are applicable where not stated otherwise.

**Contact:**
Ms. Marie Guyaz del Aguila  
Schweizerischer Nationalfonds  
Abteilung Geistes- und Sozialwissenschaften  
Wildhainweg 3, Postfach 8232  
CH-3001 BERN, SWITZERLAND  
E-mail address: marie.guyaz@snf.ch

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**National Annex: United Kingdom**

**Partners:** This call is supported by the Economic and Social Research Council (ESRC)

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency ESRC for this call will be up to 1.9 million Euro. Up to €500,000 can be requested per project and should be converted to Great British Pounds (GBP) in the UK National Budget Tables, using the exchange rate established by the European Central Bank for 1st August 2017. The ESRC will fund the UK costs at a rate of 80% full Economic Cost (fEC), unless otherwise stated, as per standard ESRC policy.

Details of the call and the application process are provided via [www.esrc.ac.uk/t2s](http://www.esrc.ac.uk/t2s).

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In order to be eligible for funding, in addition to the UK team the project team should involve at least one other national research team eligible for funds provided by the European Commission (see Annex A, page 21-22 of the Call for Proposals). In addition for United Kingdom, the following eligibility and national funding modalities apply:

**Eligibility and national funding modalities:**

Standard ESRC research funding terms and conditions apply ([http://www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/](http://www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/)).

In a change to previous guidance notes, awards will be made in Great British Pounds (GBP) and those proposals recommended for funding will be requested to submit their proposal via Je-S after the panel meeting in March 2018. More details on how to upload proposals to Je-S will be made available to successful applicants following the completion of the Full Proposal stage of the call. Applications made to the Full Proposal stage of T2S should continue to be submitted via the [BFGO online portal](http://www.esrc.ac.uk/t2s).
The ESRC is the UK’s largest organisation for funding research on economic and social issues. The ESRC supports independent, high-quality research which has an impact on business, the public sector and the third sector. A full list of acceptable ESRC research areas is available on the ESRC website: http://www.esrc.ac.uk/funding/guidance-for-applicants/is-my-research-suitable-for-esrc-funding/discipline-classifications/

Statement on ODA Compliance of T2S applications involving UK investigators:

The ESRC’s contribution to the T2S call is funded through the Global Challenges Research Fund (GCRF). As such, applicants must clearly demonstrate how the ESRC-funded components of the project meet ODA requirements through the ‘Summary of the Project’ and ‘Project Description’ sections of their proposals.

- All shortlisted proposals involving ESRC-funded components/UK investigators will also be required to submit a two page ODA compliance statement alongside their full proposal which will provide the basis on which decisions will be made about whether the proposal is eligible for this call.

Assessment of ODA compliance will be made by a specialist team within ESRC, although final decisions may include input from the commissioning panel as well as external sources of ODA expertise.

A light touch ODA compliance check was made at the outline stage of the call, and a small number of proposals were rejected outright. A significant proportion of the remaining proposals were flagged as potentially ineligible on ODA grounds, although the level of detail in the applications was insufficient to make a final determination. The ESRC reserves the right to reject applications that do not meet the ODA criteria during the full proposal application stage.

Given the high number of potentially ineligible proposals, ESRC would encourage all shortlisted applicants to pay close attention to the these 3 sets of ODA guidance

- http://www.esrc.ac.uk/research/international-research/global-challenges-research-fund-gcrf/official-development-assistance-oda/
- the T2S guidance for ODA Compliance, http://www.esrc.ac.uk/funding/funding-opportunities/transformations-to-sustainability-t2s part of preparing the ESRC-funded components to the proposal,
- scheme FAQs found at http://www.esrc.ac.uk/files/funding/funding-opportunities/transformations-to-sustainability-t2s-faqs/.

In particular ESRC would like to emphasise the following points:

- The ODA compliance decision relates to any ESRC-funded components of the research and not to the entire T2S proposal. Therefore proposals where a UK team would conduct a UK-case study with the intention to producing substantial impacts within the UK, whilst colleagues outside of the UK conducted case studies relating to DAC list countries would not be eligible.
- To be ODA compliant the principal motivation of the UK component must be to directly benefit a country or countries on the DAC list, and the key/principal beneficiaries of the research should be located in a DAC list country or countries.
- It is fine for research to take place outside of a DAC list country or for components to include a comparative element as long as the principal motivation is to benefit a DAC list country or countries. (It is also fine for research projects to have secondary impacts outside of eligible countries).

To be compliant a component must address development challenges in an eligible country or countries and be likely to contribute directly to their economic development and/or welfare. Impacts
should be targeted at specific DAC list countries and should not depend on the trickle down of a global public good.

**Who can apply?**

UK applicants (principal investigators) requesting funding from T2S must be associated with an eligible research organisation. The call is open to principal investigators based in recognised higher education institutions, research organisations or organisations with a credible research capacity to undertake high-quality research. This refers to institutions which possess an existing in-house capacity to host a grant and to carry out research that materially extends and enhances the national or international research base, and is able to demonstrate an independent capability to undertake and lead research projects. Further information on eligibility is provided within the ESRC Research Funding Guidance: [www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/](http://www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/)

The principal investigator takes responsibility for the intellectual leadership of the research project and for the overall management of the research or other activities. S/he will be the contact person for all correspondence and must be based at the organisation where the grant will be held.

ESRC attaches major importance to the position of UK social science in the international and global arena. It positively encourages active collaboration between UK researchers and those in other countries, where this will help to ensure that UK research is at the international leading edge. Any academic researcher from an established international research organisation (outside of the participating country applicants) of comparable standing to an ESRC-eligible UK research organisation will therefore be eligible to be included as a UK team member under this call, in accordance with ESRC's international co-investigator policy. This includes researchers from low- and middle-income countries. Please refer to ESRC’s guidance available at: [www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-international-co-investigators-on-proposals/](http://www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-international-co-investigators-on-proposals/)

Business, third sector or government body co-investigators based in the UK can also be included in UK-led projects under this call, in accordance with ESRC policy. Please refer to ESRC’s guidance available at: [www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-uk-business-third-sector-or-government-body-co-investigators-on-proposals/](http://www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-uk-business-third-sector-or-government-body-co-investigators-on-proposals/).

**What are eligible costs for UK researchers?**

For all UK applicants, the policy for full economic costs (fEC) is to be followed. Applicants are encouraged to consult the ESRC Research Funding Guide for eligibility information: [www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/](http://www.esrc.ac.uk/funding/guidance-for-applicants/research-funding-guide/).

Please note that no grant-associated doctoral studentships linked to a UK team will be funded under this call. If graduate students are employed as Research Assistants, please make their role in the project clear within the proposal.

The ESRC will fund and support high-quality basic, strategic and applied research in the social sciences which meet the needs of users and beneficiaries. Our mission places emphasis on ensuring researchers engage fully with the users of their research. These may be other academics, government departments, public bodies, business, voluntary organisations or other interested partners. Applicants requesting T2S funds may therefore also include costs associated with knowledge exchange, co-production and collaboration between researchers and the private, public and civil society sectors as well as non-academic co-investigators.

This may include costs for activities such as:

- academic placements with a civil society, business or public organisation
- policy or evidence seminars
- the development of tools that emerge from research that can maximise the use of research outputs in practitioner communities, and
• collaborative research, where researchers are engaging directly with users in shaping the research agenda in applying social science to current issues relating to policy, strategy or practice.

In such cases, the ESRC expects to see research and knowledge exchange activities developed in collaboration with non-academic stakeholders, and welcomes co-funding (case or in-kind) as a demonstration of support for such activities. When requesting expenditure for involvement including non-academic co-investigators, please refer to ESRC’s guidance available at: www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-uk-business-third-sector-or-government-body-co-investigators-on-proposals/

When requesting expenditure for involvement of international co-investigators, please refer to ESRC’s guidance available at: www.esrc.ac.uk/funding/guidance-for-applicants/inclusion-of-international-co-investigators-on-proposals/.

Please note that the full economic costing (fEC) model will be used for this call. Any fully justified funding requested for international co-investigators and/or non-academic co-investigators will be funded at 100 per cent fEC, but these co-investigator contributions must not exceed 30 per cent of the total fEC costs for UK proposals. In the event that both non-academic co-investigators and international co-investigators are included on a project, the total cumulative contributions for both types of co-investigator must not exceed 30 per cent of the total fEC costs for UK proposals.

In line with the fEC policy, for UK academic principal and co-investigators ESRC will contribute 80 per cent of fEC.

Completing a UK applicant Financial Budget Table
UK teams should present one overall budget. The funds requested will be paid to the lead university, who will then be responsible for transferring funds to the partners. The UK applicants must complete the UK Financial Budget Tables in Great British Pounds (GBP) for Table 1 and Table 2, and then summarise the costs in Euros in Table 3, using the exchange rate established by the European Central Bank for 1st August 2017. The total amount claimed by the UK teams in the T2S proposal must correspond to the total(s) in EUROs entered on the UK Financial Budget Table 3. Please note that the ESRC will not be held responsible for any fluctuation in exchange rate, and that under no circumstances can the costs applied for be increased to reflect such fluctuations.

In a change to previous guidance notes, awards will be made in Great British Pounds (GBP) and those proposals recommended for funding will be requested to submit their proposal via Je-S. Indexation will be applied to funded projects as per standard ESRC terms and conditions and therefore it is not required that you incorporate these costs into your budget tables.

In accordance with the ESRC Research Funding Guide all applicants must comply with the following requirements

Where ESRC-funded project outputs and outcomes are not reported as directed, the UK Research Councils collectively will not consider further proposals where the grant holder is named as the principal or co-investigator until the situation has been resolved. In addition, the Research Councils will suspend payments for the associated grant.

All applicants must be able to meet the time commitment to the project as stated in the proposal. Proposals which may result in over-commitment of time (i.e. more than 37.5 hours per week), for any applicant across all of their ESRC projects, will not be approved.

Any applicants employed as staff for more than 20 per cent of their time in an ESRC research centre, group or network must obtain a supporting statement from the Director to accompany their proposal. The
Director must confirm that the project is not part of the center’s contracted programme of work and provide a clear explanation of how the project will enhance or complement the center’s programme. The Director must also confirm that the applicant has time to contribute to the project without prejudice to the center’s contracted programme of work.

ESRC-funded researchers are expected to comply with the RCUK open access policy on research publications and outputs: www.rcuk.ac.uk/research/openaccess/

The ESRC does not allow the resubmission of any previously unsuccessful proposal, unless explicitly invited to resubmit. Please see ESRC’s policy on resubmissions on the ESRC website, including definitions of what constitutes a resubmission: www.esrc.ac.uk/funding/guidance-for-applicants/resubmissions-policy/

**Please ensure you complete and attach the UK financial budget table when submitting the Full Proposal form.**

**Contact information**
Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For United Kingdom enquiries please see address below.

Ben Sharman  
Economic and Social Research Council (ESRC)  
Polaris House  
Swindon  
SN2 1UJ

Email: t2s@esrc.ac.uk  
Telephone: 01793 413146

**National Annex: United States of America (USA)**

**Partner: This call is supported by the NSF (National Science Foundation)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency NSF for this call will be up to 450,000 Euro. Up to €180,000 can be requested per project.

Details of the call and the application process are provided via call website.

All applicants have to comply with the rules as described in the T2S Call Text. In addition for The United States of America the following eligibility and national funding modalities apply:

**Eligibility and national funding modalities:**

**FUNDING PRINCIPLES**

Within each selected consortium, funding of the participating researchers is provided by their respective national funding organization in accordance with their standard award terms and conditions. A consortium agreement (including International Property Rights) will need to be developed between the participating researchers should their application be successful, and shared with the relevant Partner Organizations. All projects have to include a budget for participation in synthesis meetings that will be held back-to-back with a scientifically relevant international conference or event.
The total available budget for US investigators is approximately 500,000 USD (approximately 450,000 Euros). NSF anticipates that a total of 3 to 4 research consortia will be supported, pending the availability of funds. **The maximum total budget request for all US investigators in a single consortium must not exceed 200,000 USD.**

Each consortium must include partners from at least three participating countries and the Lead Investigator of the consortium must have expertise from a social science discipline. The project must include collaboration between social/economic sciences, and natural sciences and must show clear links through to end-users/stakeholders. Researchers from countries not represented by any of the partner-countries can participate in the research project at their own expense but do not count toward the 3-participating country minimum.

Consortium partners should identify a Leading Principal Investigator (LPI) from a social science discipline for each proposal for application, management and communication purposes. The LPI is officially responsible for all communications with the Call Program Office, including the submission Proposal. These communications must be in accordance with the LPI’s funding agency requirements.

U.S. investigators may only be **part of one consortium.** Accordingly, U.S. investigators may be listed as either Lead PI, Co-PI (Partner PI) or Senior Personnel on **only one proposal.**

In accordance with NSF policy, proposals cannot list more than 4 Co-PI’s (Partner-PI’s) from U.S. institutions. Additional U.S. collaborators must be listed as Senior Personnel.

Funding opportunities for researchers from developing countries can be found through the NSF/USAID Partnerships for Enhanced Engagement in Research (**PEER**) program. The next funding opportunity is expected to be announced in the October/November and follow a similar time-schedule.

**FUNDING PROCEDURES**

For U.S. investigators that are part of a consortium and are NOT the Lead PI, all proposals (pre- and full) will be submitted to the Call Program Office by the consortium’s Lead PI in accordance with the Agency’s or Country’s procedures.

In accordance with NSF policy, proposals (pre- and full) that have U.S. Lead PI’s must be submitted to the on-line Belmont Forum system by approval of the Lead PI’s office of sponsored research or equivalent. No proposals submitted directly to the Belmont on-line system by a U.S. Lead PI will be accepted without this approval which may be in the form of an email to the National Contact Point from a representative of the Lead PI’s office of sponsored research or equivalent. NO proposals submitted directly to FASTLANE will be accepted.

In accordance with NSF policy, a proposal cannot list more than 4 Co-PI’s from U.S. institutions. Additional U.S. collaborators must be listed as Senior Personnel.

Once the review process is complete, the U.S. Lead PI will be contacted by the NSF point of contact with further instructions on how to upload the proposal information into FASTLANE. In addition, U.S. investigators that are part of a consortium and are NOT the Lead PI, will be contacted by NSF point of contact to upload proposal information into FASTLANE.

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22 **PEER Program** ([http://sites.nationalacademies.org/pga/dsc/peer/index.htm](http://sites.nationalacademies.org/pga/dsc/peer/index.htm))

6 July 2017, version 1.4
Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For the United States of America enquiries please see address below.

**National Contact Points:**

Dr. Maria Uhle  
Program Director for International Activities  
Directorate for Geosciences  
National Science Foundation  
4201 Wilson Blvd, RM 705  
Arlington, VA 22230 USA  
Phone: +1 703 292-2250  
E-mail: muhle@nsf.gov

Dr. Jeffrey Mantz  
Program Director,  
Cultural Anthropology  
Division of Behavioral and Cognitive Sciences  
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4201 Wilson Blvd  
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Fax: +1-703-292-9068  
Email: t2s-us@nsf.gov

Prof. Tamera Schneider  
Program Director,  
Social Psychology  
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National Science Foundation  
4201 Wilson Blvd  
Arlington, VA 22230  
Tel: +1-703-292-7277  
Fax: +1-703-292-9068  
Email: t2s-us@nsf.gov

**Specific Annex: International Social Science Council (ISSC)**

The following conditions and principles apply with regard to ISSC support for this call.

**FUNDING PRINCIPLES**

The budget earmarked by the ISSC for this call is 500,000 Euro.

The ISSC will fund a maximum of one national research team based in a low- or lower-middle-income country per project. Low- and lower-middle-income countries are defined according to World Bank Country and Lending Groups as of the date of publication of the Call for Proposals. See https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups.

Up to €125,000 can be requested per national project team. It is expected that 4-6 teams will be supported.

- Who can apply?
The ISSC will fund researchers in low- and lower-middle-income countries (see definition above), as Main Applicants or Co-applicants.

Any ISSC-funded team in a successful project is strongly encouraged to conclude a Consortium Agreement with their partners and to share it with the ISSC.

To qualify for ISSC support, the national team must be led by a social scientist based at a research institution and with an employment contract for the full duration of the project; the project must be co-designed and co-produced with the appropriate academic and societal partners. The social sciences in this case broadly encompass disciplines in the remit of the ISSC’s member or associate members organisations. If in doubt as to whether the discipline of the applicant is eligible, please contact the ISSC.

ISSC funding may be used to support the involvement of researchers in other domains of science (e.g. natural sciences) and of societal (non-academic) partners; however, the national team must be led by a social scientist.

- **What are eligible costs?**

Eligible costs under ISSC support include:

- Personnel;
- Fieldwork, data collection & storage costs;
- Economy-class travel, accommodation and other expenses (e.g. catering, equipment rental, vaccinations) directly related to meetings and fieldwork;
- Equipment essential to the research;
- Materials and consumables, including software licences, and costs associated with access to and the use of equipment and services not available in the institution of the beneficiaries that are required for the research;
- Intermediaries/process facilitators for working with policy makers, practitioners, civil society and other user groups/stakeholders; appropriate training in the development of these skills;
- Training in new methodologies and interdisciplinary approaches (e.g. for early career researchers);
- Communication tools and expertise, dissemination activities and publication support, including costs related to open access publication;
- Project monitoring and evaluation activities;
- Indirect costs, as a contribution to the general overheads of the beneficiaries’ institutions, up to a maximum of 10% of direct costs but excluding the cost of any equipment, in-kind contributions and sub-contracting. Examples of indirect costs are: charges for office space; electricity, heating, lighting; telephone and basic communication costs; routine photocopying and printing; standard IT equipment, including desktop and laptop computers and associated software; office support staff (e.g. finance staff, basic secretarial support, IT support staff).

- **Other budget requirements**

All projects involving an ISSC-funded team must budget for the participation of one project member in an annual T2S programme workshop or conference.

Any research team applying for more than 50,000 EUR must budget for a dedicated annual audit of the project expenditures by an external, independent and qualified auditor.

All ISSC-funded research teams may be subject to random audits of their expenditures and will be required to keep accounts and documentation to fulfil the requirements of an audit.
**Before applying**
Potential applicants for ISSC support are advised to contact the Contact Point below before starting to prepare a proposal.

**Contact Point:**
Sarah Moore
International Social Science Council
UNESCO House, 1 Rue Miollis, 75732 Paris, Cedex 15, France
Email: transformations@worldsocialscience.org
Tel: +33 (0)1 456 84445

**Specific Annex: Lithuania**

**Cooperation partner: This call is supported by RCL (Research Council Lithuania)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the Cooperation Partner RCL for this call will be up to €100,000 Euro.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the T2S Call Text. In addition for Lithuania, the following eligibility and national funding modalities apply:

- **Who can apply?**
Researchers from Lithuanian higher education and research institution (which is listed in the Register of Ministry of Education and Science of Republic of Lithuania) may participate in NORFACE consortia as Cooperation Partners. A person, acting as a Cooperation Partner, has to be a scientist (researcher holding at least a Ph.D. degree). A person, acting as a Cooperation Partner, can participate only in one proposal per Call.

- **What are eligible costs for Lithuanian researchers?**
**Eligible direct costs for Lithuanian researchers:**
  - Personnel
  - Subcontracting
  - Consumables
  - Travel and Subsistence
  - Equipment
  - Other

**Overheads:**
  - Up to 30% of Personnel and Subcontracting costs.

The workload of Lithuanian Cooperation Partner must be at least 20 hours multiplied by the duration of the project in months.

One project can require up to € 100 000 for a maximum of three years in Lithuania.

- **Links to further information or documents:**
This is not a comprehensive list of requirements for the Lithuanian participants. All national rules are presented in the Lithuanian language in the call text and Rules for Financing (Lietuvos mokslo tarybos mokslo ir sklaidos projekty konkurssino finansavimo bendrosios taisykles)
Contact Point:
Kornelija Janavičiūtė,
International Programmes Unit of Research Foundation
Research Council of Lithuania
E-mail: kornelija.janaviciute@lmt.lt
Tel: +370 5 210 7396
www.lmt.lt