

## **APPENDIX 1: ELIGIBILITY CRITERIA PER FUNDING AGENCY**

### **National Annex: Brazil**

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**Partner: This call is supported by FAPESP (São Paulo Research Foundation)**

***TO BE COMPLETED***

#### **National Contact Points:**

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FAPESP

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## National Annex: Chinese Taipei

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**Partner: This call is supported by MOST (Ministry of Science and Technology)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency Ministry of Science and Technology for this call will be up to €750,000. Up to €300,000 can be requested per project.

Details of the call and the application process are provided via <http://belmontforum.most.ntu.edu.tw/opportunities/collaborative-research-actions/eidm/>

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

All applicants have to comply with the rules as described in the SEI Call Text. In addition for Chinese Taipei, the following eligibility and national funding modalities apply:

### **Eligibility and national funding modalities:**

☒☒ Only research organisations (universities, university colleges, research institutes or other authorities with research undertakings) eligible to receive funding from the Ministry of Science and Technology can apply for this call. Companies (from industry/large companies to SMEs) wish to apply, must secured their own funding, either self-funded or from other national funding agencies. Proof of secured funding must be demonstrated to the Ministry of Science and Technology upon request.

☒☒ Each project shall not request for more than 3 Million New Taiwan Dollars (NTD) per year from the Ministry of Science and Technology. More than one Taiwanese team (from different institutions) participated in the same project can request NTD 3M/Year from the Ministry of Science and Technology separately, only if they could clearly distinguish the tasks involved in the same project.

☒☒ For each successfully SEI research project, the Ministry of Science and Technology will perform a separate budget evaluation (rather than technical evaluation) to determine final amount approved.

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Taiwan enquiries please see address below.

### **National Contact Points:**

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## National Annex: France

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### Partner: This call is supported by ANR (Agence Nationale de la Recherche)

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency ANR for this call will be up to €1,500,000. Up to €500,000 can be requested per project.

Please consult the programme's webpage on the ANR website at <http://anr.fr/SEI>, in particular the national regulations for French applicants (« Modalités de participation pour les participants français »), to make sure to comply with all ANR requirements.

It is strongly advised to develop a consortium agreement between the participating partners should their application be successful, and share it with the relevant Partner Organisations. The consortium agreement is compulsory according to EC rules when there is an enterprise in the consortium.

All applicants have to comply with the rules as described in the SEI Call Text.

The project proposal must comply with the ANR's ethics and scientific integrity policy<sup>1</sup>.

In addition for France, the following eligibility and national funding modalities apply:

- **Who can apply?**

Any organization having an establishment or a branch office<sup>2</sup> in France that conducts Research.

- **What are eligible costs for French researchers?**

Standard national rules apply, including for overheads. Verify eligible cost under the provisions of the ANR's "Règlement financier" (<http://www.agence-nationale-recherche.fr/RF>)

Please ensure you complete and attach the ANR financial budget table when submitting the Full Proposal form.

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<sup>1</sup> <http://www.agence-nationale-recherche.fr/fileadmin/documents/2014/Politique-ethique-integrite-scientifique-aout-2014.pdf>

<sup>2</sup> Article 3.3 in <http://www.agence-nationale-recherche.fr/RF>

## National Annex: Japan

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**Partner: This call is supported by JST (Japan Science and Technology Agency)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked [collectively] by the participating JST for this call will be up to €250,000 (depending on exchange rates at time of funding).

The official national call announcement for the Japan has been published on the JST homepage (<http://www.jst.go.jp>). Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Japanese enquiries please see below.

In addition to the joint application process, Japanese applicants will have to register their applications on the Cross-Ministerial R&D Management System (e-Rad: <https://www.e-rad.go.jp/index.html>). The Japanese applicants must also complete a research ethics training program conducted by the research institute with which the PI is affiliated, and then declare the completion of the program to JST within 30 days after the deadline of the call for proposals. If it would be very difficult for the Japanese PI to undertake a program provided by his or her own affiliated institute, they should please contact JST. Please note that unless applicants complete a research ethics program, his / her application will be deemed ineligible. For more details, please refer to call announcement page linked from the JST homepage.

A consortium agreement will need to be developed between the participating partners should their application be successful, and shared with the relevant Partner Organisations.

### **Eligibility and national funding modalities:**

Any independent researcher personally affiliated with (and actively conducting research at) a domestic Japanese research institution, regardless of nationality, is eligible to apply.

'Domestic Japanese research institution' refers to universities, independent administrative institutions, national/public testing and Research Institutions, specially authorized corporations, public-service corporations and enterprises, etc. that satisfy requirements predetermined by the Japanese Ministry of Education, Culture, Sports, Science and Technology (MEXT). Please refer to the MEXT homepage for more information:

[http://www.mext.go.jp/a\\_menu/kansa/houkoku/1301688.htm](http://www.mext.go.jp/a_menu/kansa/houkoku/1301688.htm)

The scale of funding provided to successful proposals will depend on the budget of each fiscal year.

Funding will be provided in support of research, travel and personnel expenses, as well as indirect costs (up to a maximum of 10% of direct costs).

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and JST will fund the Japanese partner of any successful trans-national collaboration.

### **National Contact Point:**

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## National Annex: United States of America (USA)

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**Partner: This call is supported by the National Science Foundation (NSF)**

**Notice:** Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency NSF for this call will be up to 1.5M USD (1.2M Euro). Up to 500,000 USD can be requested per project.

Details of the call and the application process are provided via call website.

All applicants must comply with the rules as described in the SEI Call Text. In addition, for the United States of America, the following eligibility and national funding modalities apply:

### **Eligibility and national funding modalities:**

#### **FUNDING PRINCIPLES**

Within each selected consortium, funding of the participating researchers is provided by their respective national funding organization in accordance with their standard award terms and conditions. A consortium agreement (including International Property Rights) will need to be developed between the participating researchers should their application be successful, and shared with the relevant Partner Organizations. All projects must include a budget for participation in multiple synthesis meetings that will be held back-to-back with a scientifically relevant international conference or event.

The total available budget for U.S. investigators is approximately 1.5M USD (approximately 1.2M Euros). NSF anticipates up to 4 research consortia will be supported, pending the availability of funds. ***The maximum total budget request for all US investigators in a single consortium must not exceed 500,000 USD.***

Each consortium must include partners from at least three participating countries. The project must be inter-disciplinary and show clear links to end-users/stakeholders. The U.S. team must include at least one U.S. researcher from one or more natural and/or social science areas funded by NSF, and should include U.S. computer scientists, data scientists, and research cyberinfrastructure developers/providers. NSF strongly encourages projects that include development or adaptation of data-driven and other research cyberinfrastructure; in such cases, U.S. research cyberinfrastructure experts must be included in the collaborating team. Researchers from countries not represented by any of the partner-countries can participate in the research project at their own expense but do not count toward the 3-participating country minimum.

Consortium partners should identify a Leading Principal Investigator (LPI). The LPI is officially responsible for all communications with the Call Program Office, including the Proposal submission. These communications must be in accordance with the LPI's funding agency requirements.

U.S. investigators may only be ***part of one consortium***. Accordingly, U.S. investigators may be listed as either Lead PI, Co-PI (Partner PI), or Senior Personnel on ***only one proposal***.

Funding opportunities for researchers from developing countries can be found through the NSF/USAID Partnerships for Enhanced Engagement in Research ([PEER](#)) program.

## FUNDING PROCEDURES

For U.S. investigators that are part of a consortium and are NOT the Lead PI, all proposals will be submitted to the Call Program Office by the consortium's Lead PI in accordance with the Agency's or Country's procedures.

In accordance with NSF policy, proposals that have a U.S. investigator as the consortium's Lead PI must be submitted to the on-line Belmont Forum system with approval of the US PI's office of sponsored research or equivalent. No proposals submitted directly to the Belmont on-line system by a U.S. Lead PI will be accepted without this approval. This approval may be in the form of an email to the National Contact Point from a representative of the PI's office of sponsored research or equivalent. **Proposals submitted directly to FASTLANE will NOT be accepted.**

In accordance with NSF policy, a proposal cannot list more than 4 Co-PIs from U.S. institutions. Additional U.S. collaborators must be listed as Senior Personnel.

Once the review process is complete, all US investigators will be contacted by the NSF point of contact with further instructions on how to proceed.

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For the United States of America enquiries please see address below.

### National Contact Points:

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